

# **Fabrication of MEMS Devices: Fundamentals and State of the Art**

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**New Jersey Nanotechnology Consortium**

**[www.njnc.com](http://www.njnc.com)**

**Bell Labs**

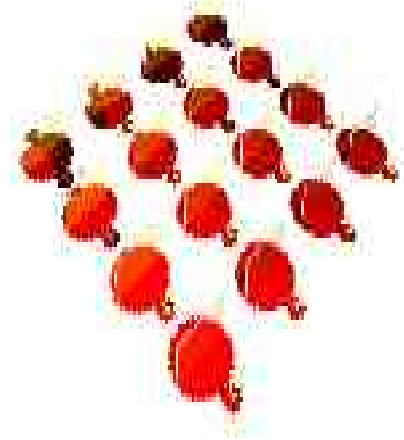
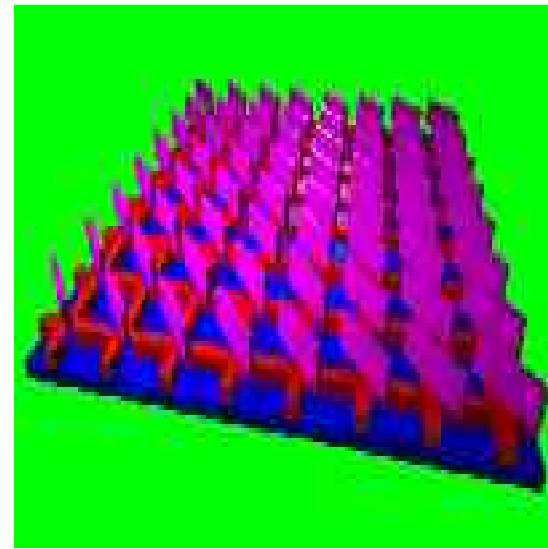
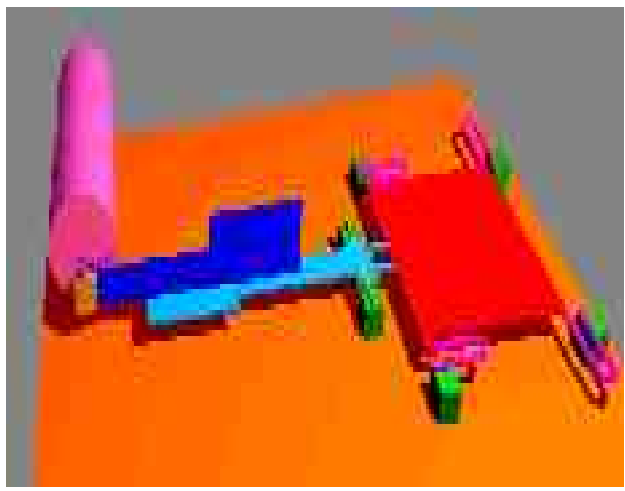
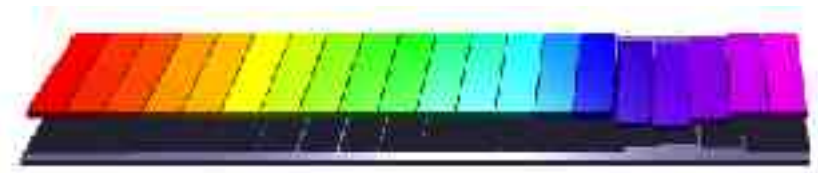
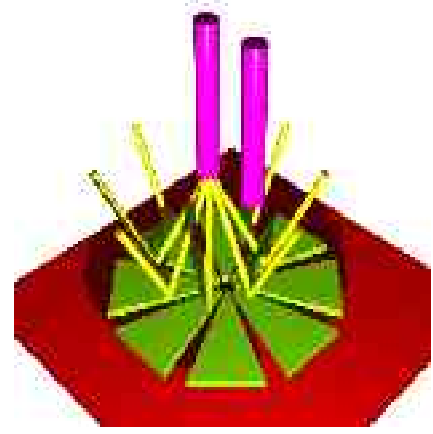
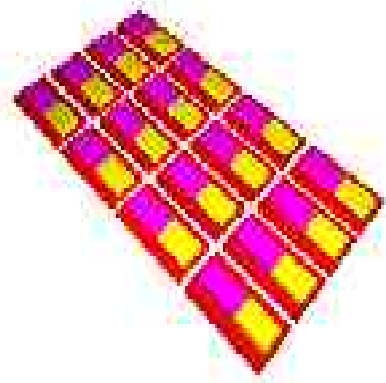
**Lucent Technology**

**[soccer@lucent.com](mailto:soccer@lucent.com)**

# OUTLINE

- I. MEMS Basics**
- II. MEMS Fabrication Fundamentals**
- III. MEMS Fabrication Processes and Examples**
  - A. Bulk Micro-machining Processes**
    - 1. Non silicon based HAR**
    - 2. Silicon based**
  - B. Hybrid Processes**
  - C. Surface Micro-machining Processes**
  - D. Soft MEMS Processes**

# Micro and Nano Machines: Many Different Types of Motion

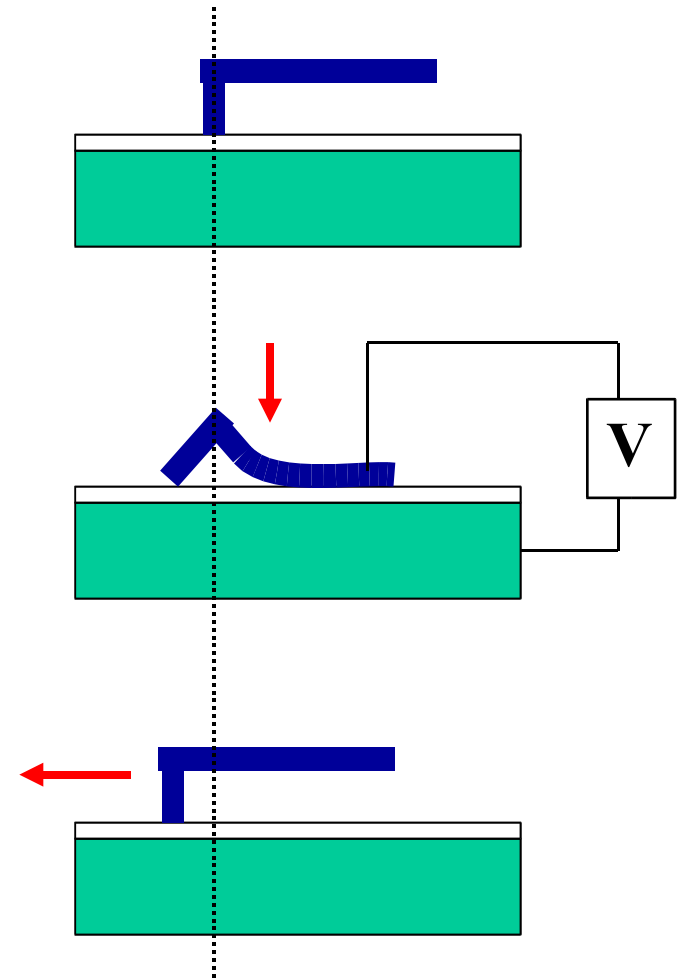
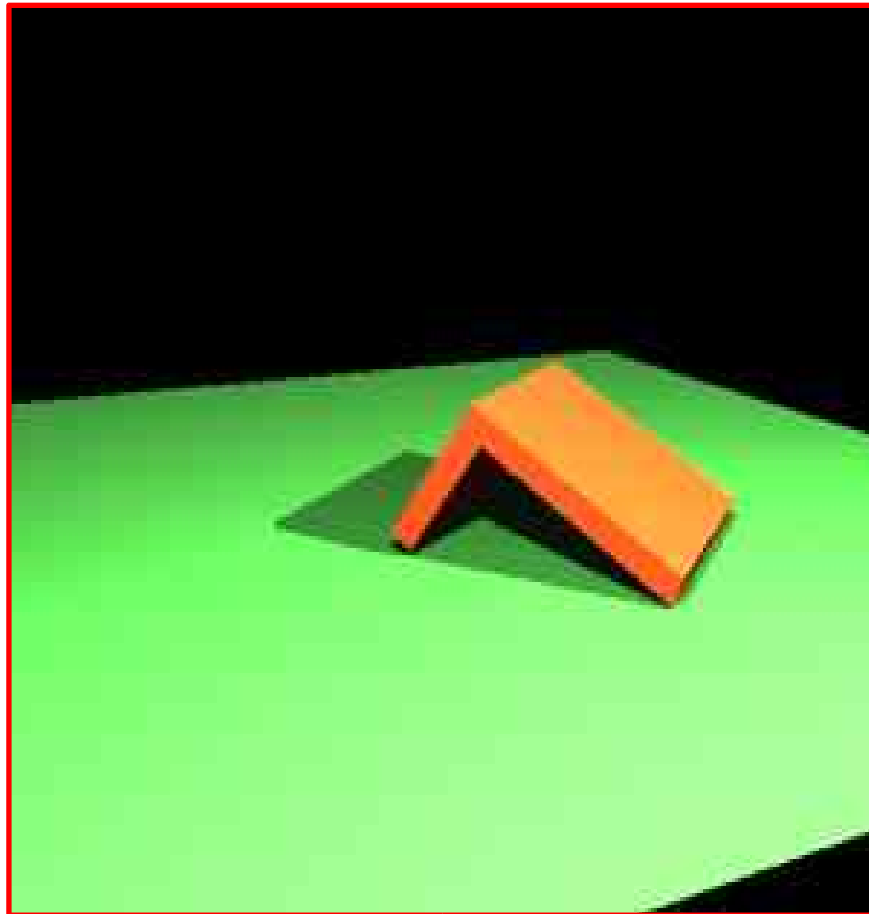


# Motion Actuation Methods

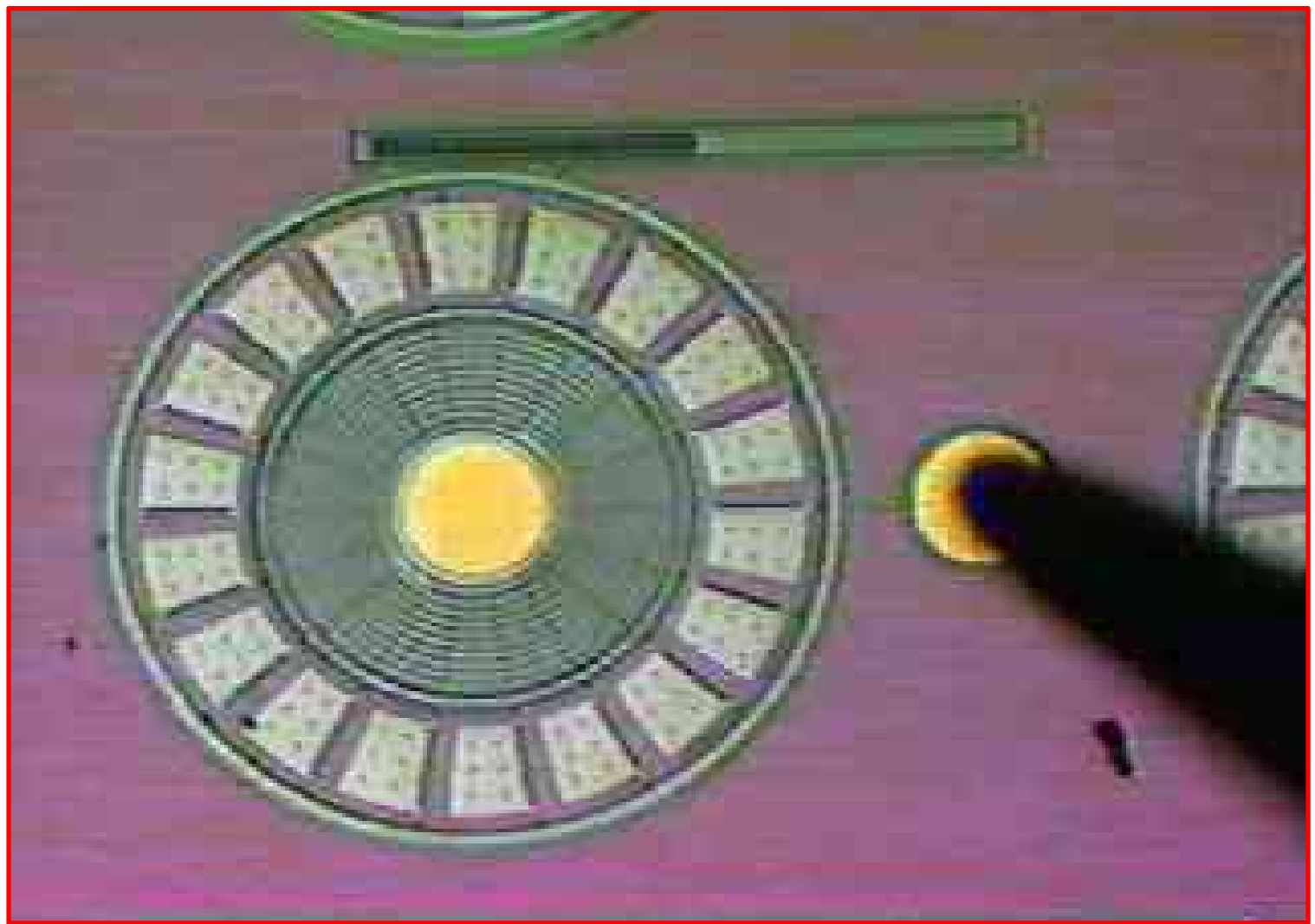
	<b>Voltage Needs</b>	<b>Switching Speed</b>	<b>Current consumption</b>
<b>Electrostatic</b>	<b>High</b>	<b>High</b>	<b>Low (none)</b>
<b>Magnetic</b>	<b>Low</b>	<b>High</b>	<b>High</b>
<b>Thermal</b>	<b>Low</b>	<b>Slow</b>	<b>high</b>

*Others: piezoelectric, stress relaxation, surface tension, .....*

# MEMS and NEMS: In-plane motion



**Electrostatic actuation**



# MEMS FABRICATION BASICS

1. Film Deposition
2. Lithography
3. Pattern transfer
4. Cleaning
5. Metrology
6. Chemical Mechanical Polishing
7. Structure release

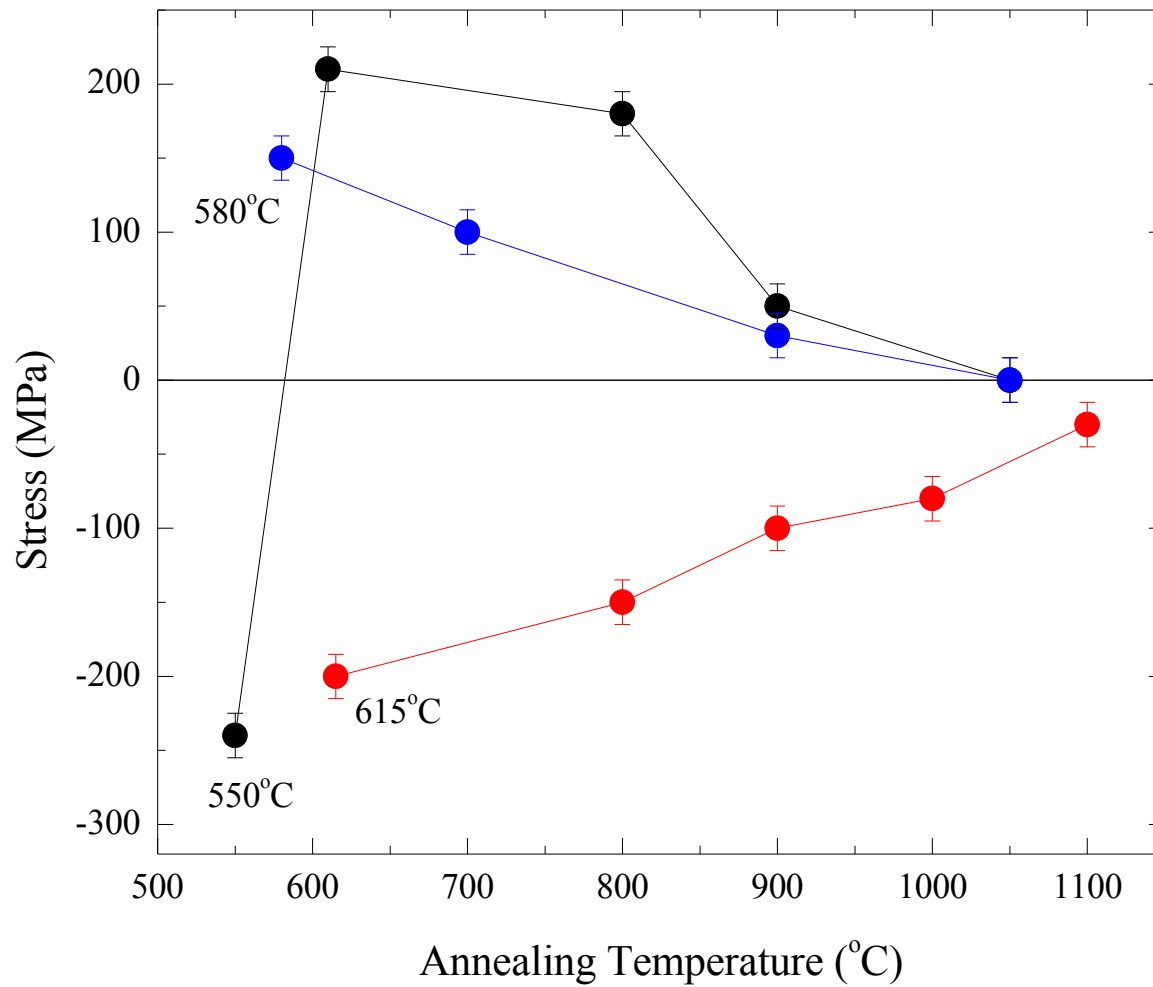
# **MEMS FABRICATION BASICS: Deposition**

## **Critical Characteristics for MEMS**

- 1. Film stress**
- 2. Deposited film gap fill considerations**
- 3. Thermal budget considerations**

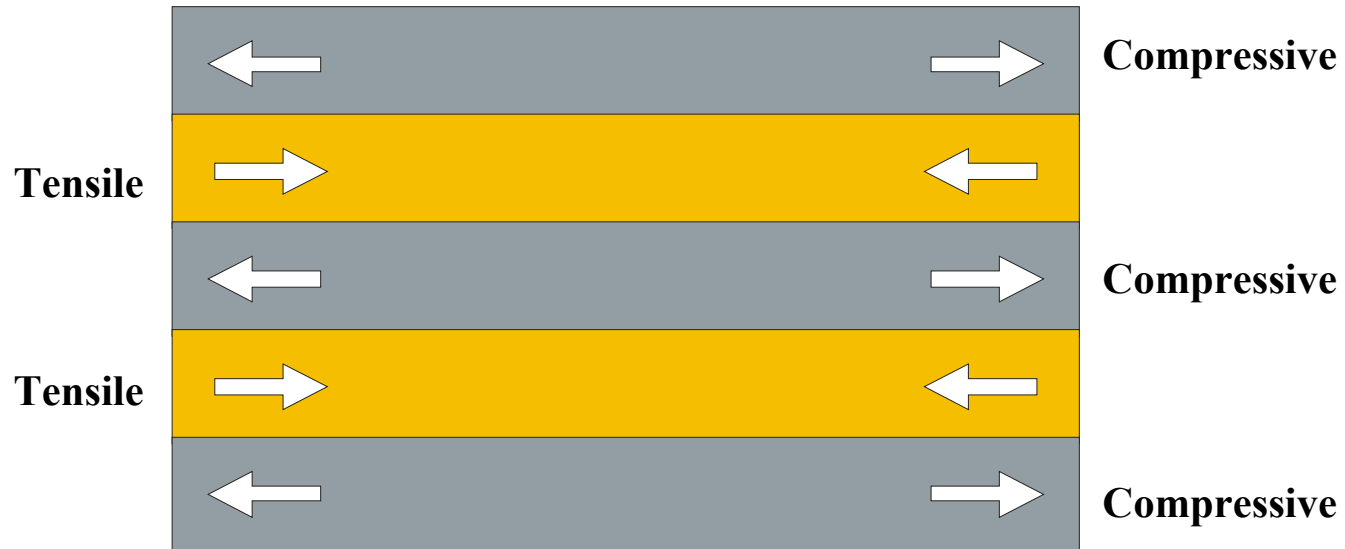


## Controlling Stress in a single layer of poly-Si: Thermal annealing



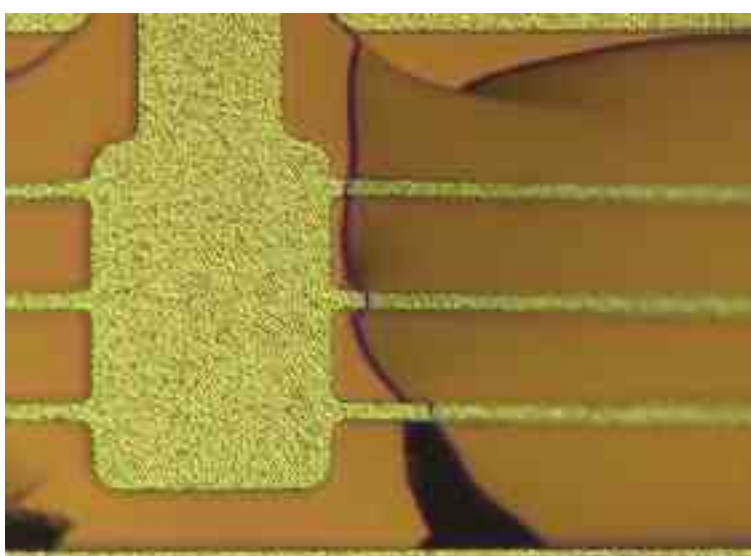
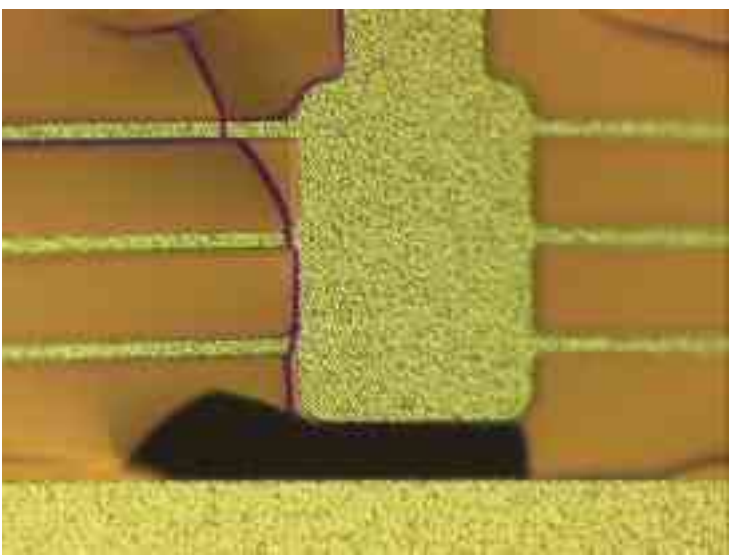
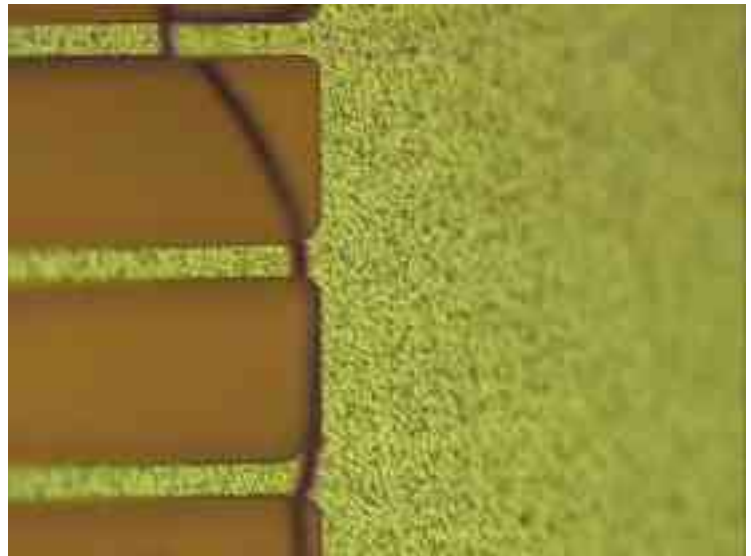
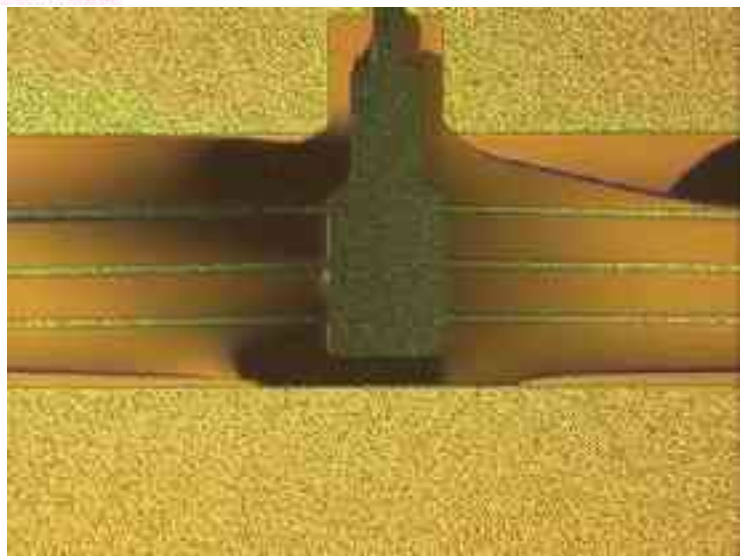
Annealing in N2 at 1050c for 2 hours will reduce the stress to <5MPa/um

## Controlling Stress and Gradient Stress with multilayer design



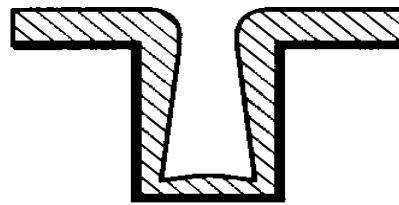
Out-of-plane Stress gradient  $\sim 2$  Mpa/um can be reached. No annealing is needed. This also allows structural design to be customized to compressive or tensile and by varying the layer thickness, a desired stress can be achieved.

# Film stress pattern and process dependencies

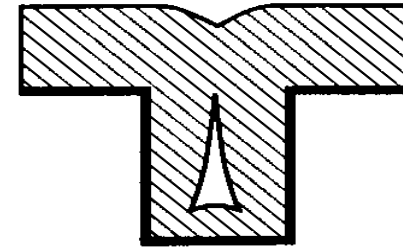


# Gap Fill Considerations

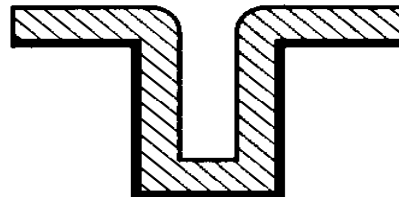
Greater the surface mobility of deposited atoms better the fill.



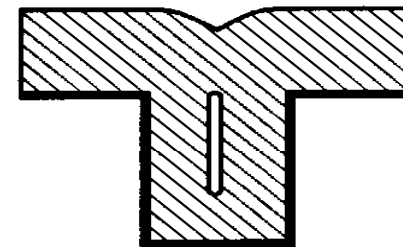
Subconformal



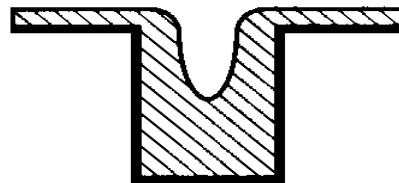
Void



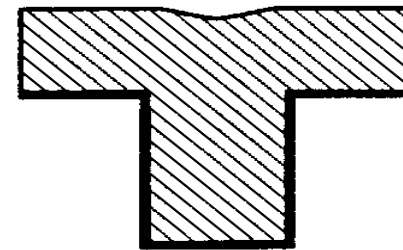
Conformal



Seam



Superconformal  
("superfilling")



Defect-free

# MEMS FABRICATION BASICS: Lithography

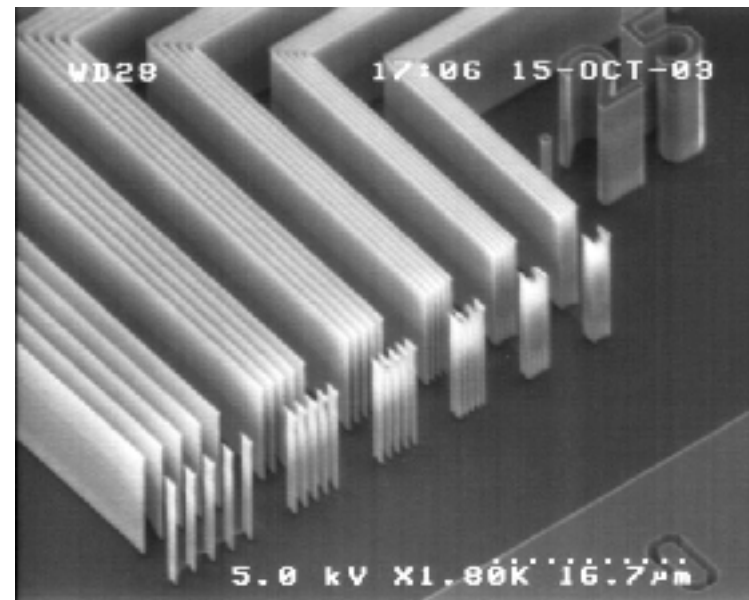
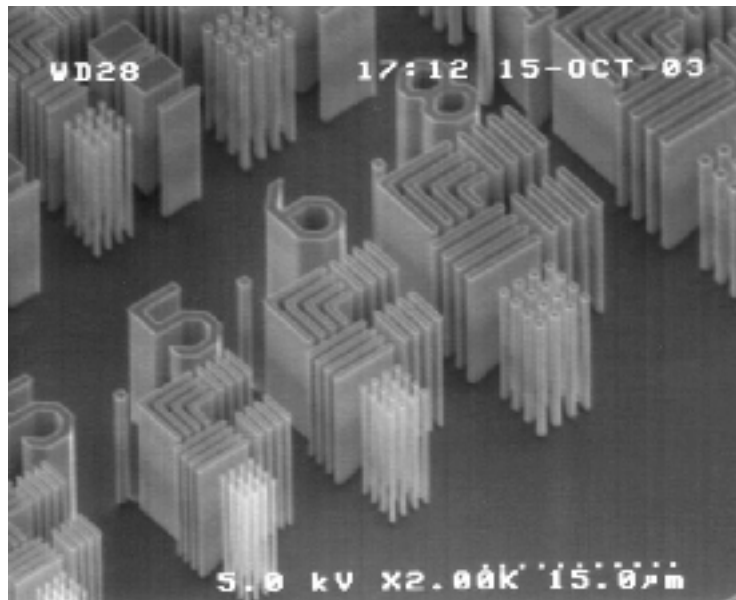
## Critical Issues for MEMS

1. **Wafer flatness** – thick stressed films distort wafers resulting in loading and chucking problems.
2. **Depth of focus** – thick films result in extreme topography over which fine feature resolution cannot be maintained.
3. **Level to level alignment** – thick films can result in attenuated or no alignment mark signal compromising alignment capability.
4. **Resist material etch selectivity** – resist materials for advanced lithography are designed for thin films pattern transfer and may not have sufficient selectivity for dry etch pattern transfer to thick films.

# MEMS FABRICATION BASICS: Pattern Transfer

## Vertical Comb Drive Etch Process

**0.25  $\mu\text{m}$  wide, 12  $\mu\text{m}$  deep fingers, about a factor of 3 more than our initial design target in both width and depth.**

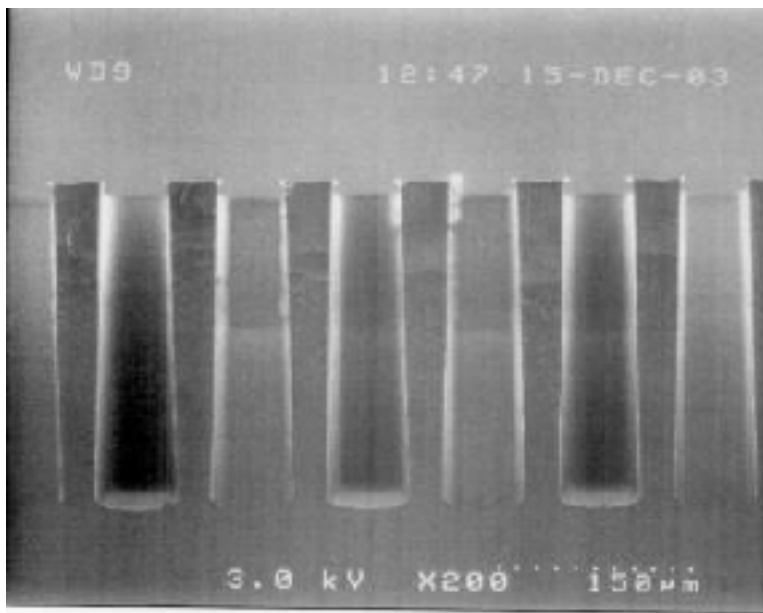


# MEMS FABRICATION BASICS: Pattern Transfer

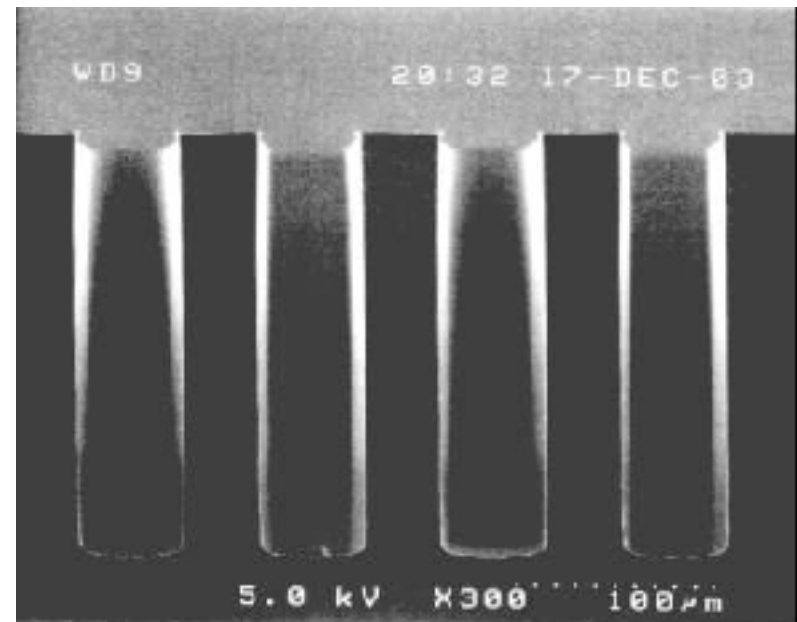
High Aspect Ratio (HAR) Deep Silicon Etching

Bosch process: deposition/etch alternation

$C_4F_8$  deposition precursor / silicon etch  $SF_6$  and  $O_2$ .



50um wide features etched 250um deep  
with standard Bosch process



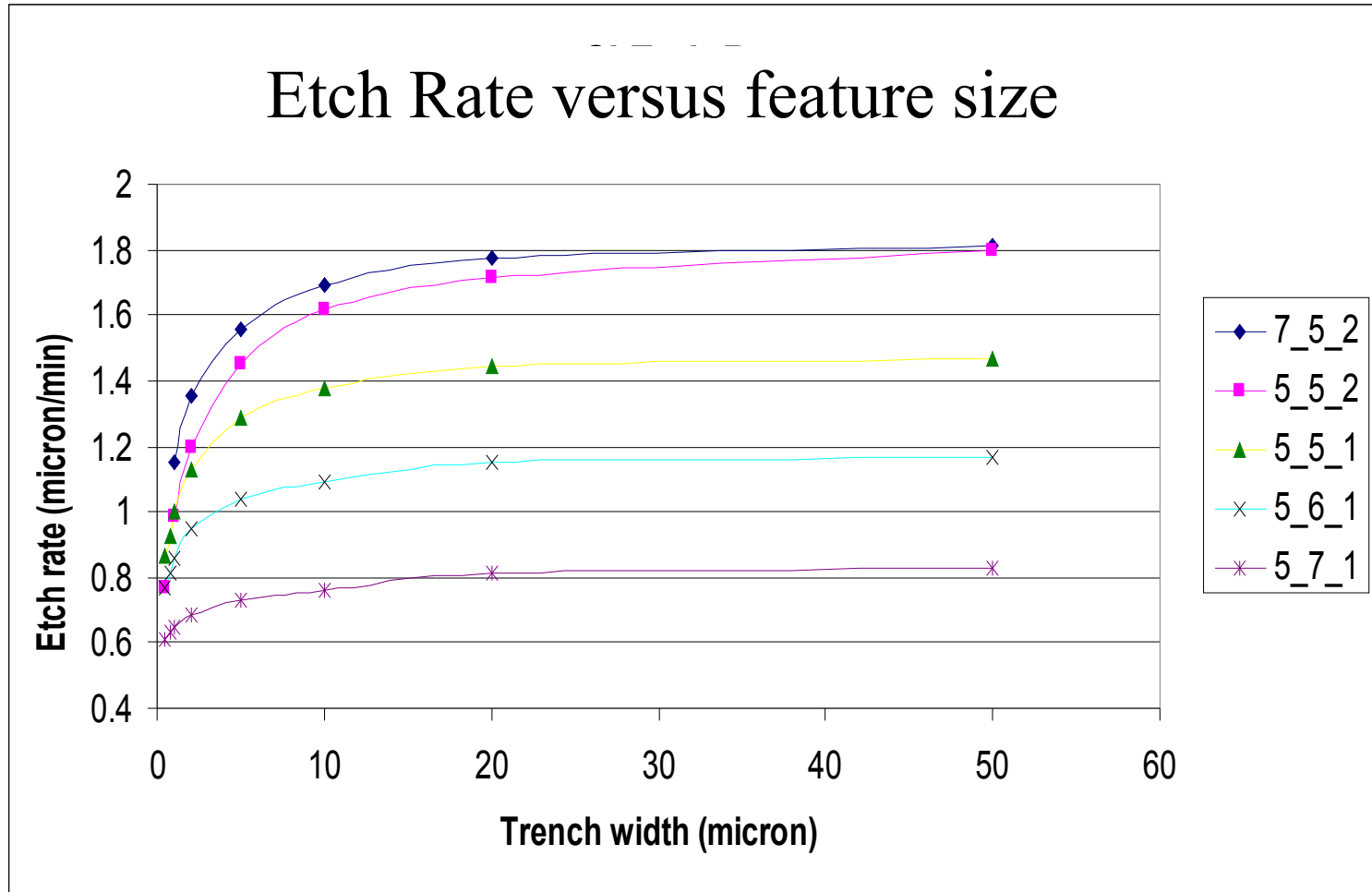
50um wide features etched 240um deep  
with modified Bosch process

# MEMS FABRICATION BASICS: Pattern Transfer

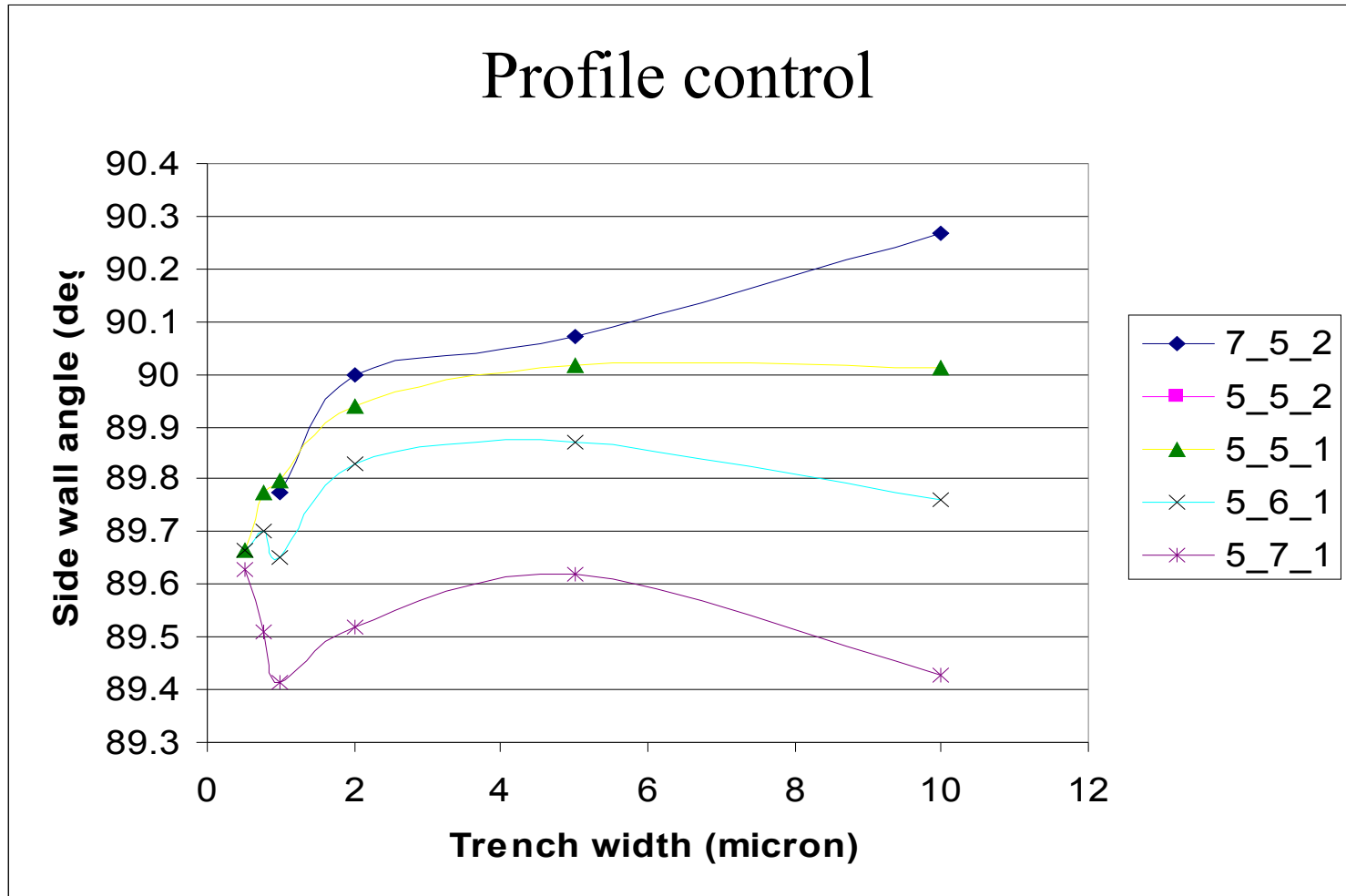
1. Etch Rate versus feature size
2. Profile control-angle and texture
3. Etch mask and etch stop layers
4. Etch rate versus area considerations (loading)
5. Etch uniformity



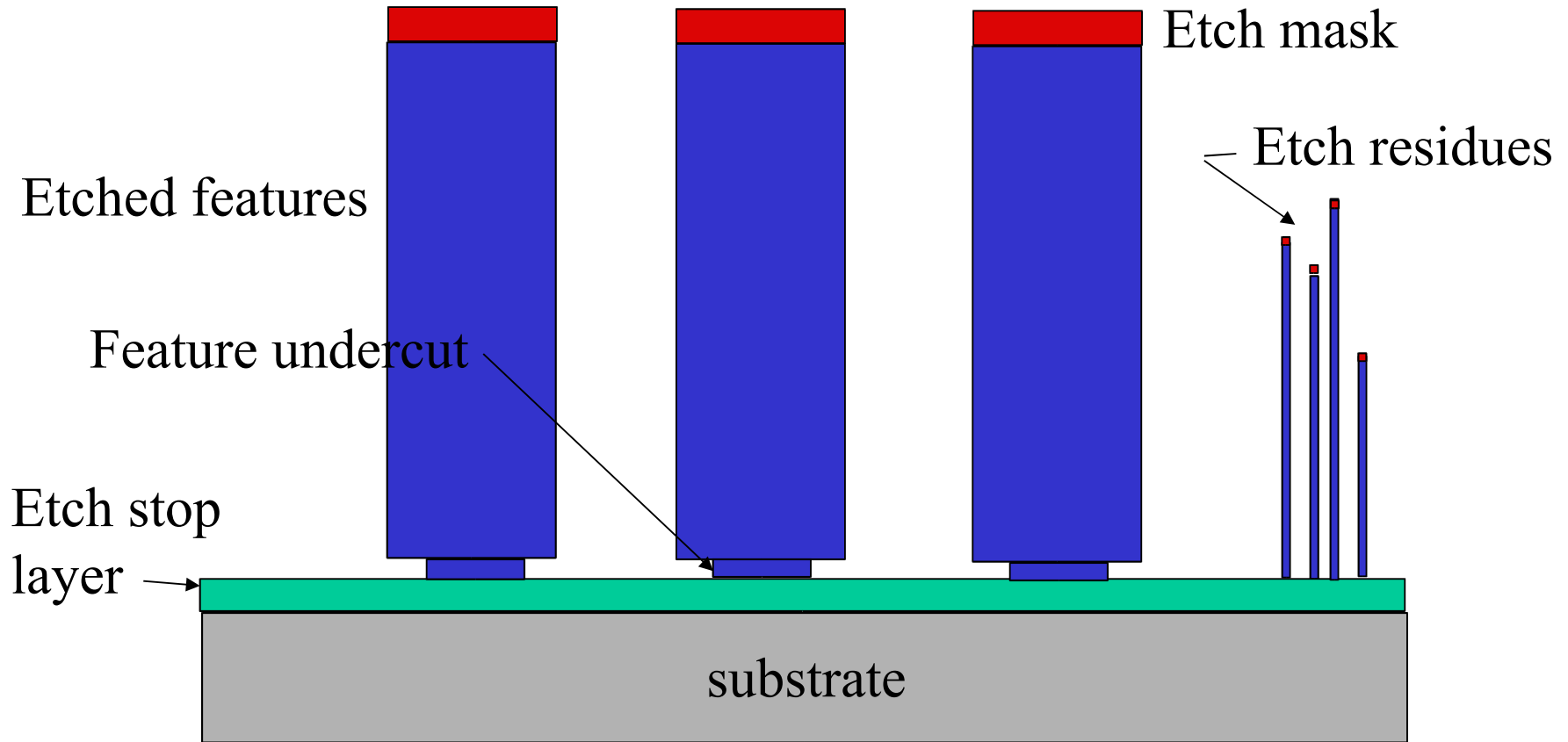
# MEMS FABRICATION BASICS: Pattern Transfer



# MEMS FABRICATION BASICS: Pattern Transfer

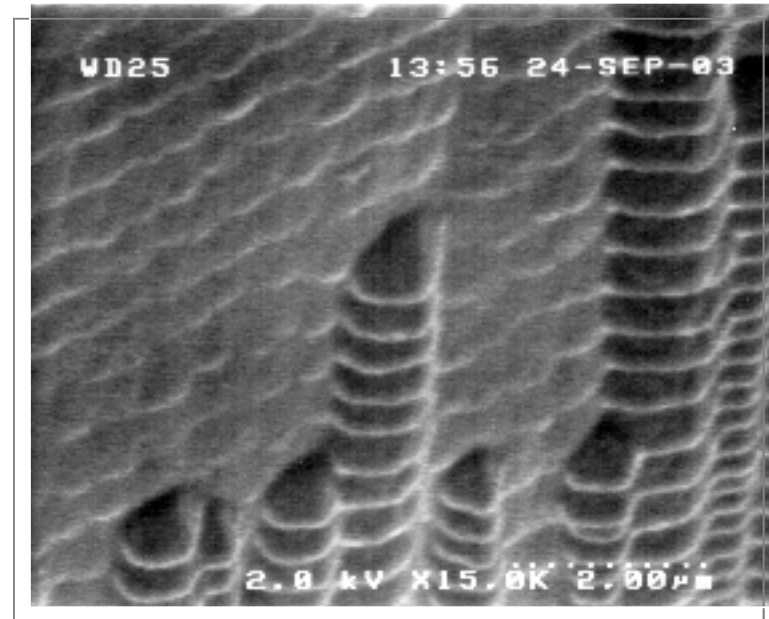
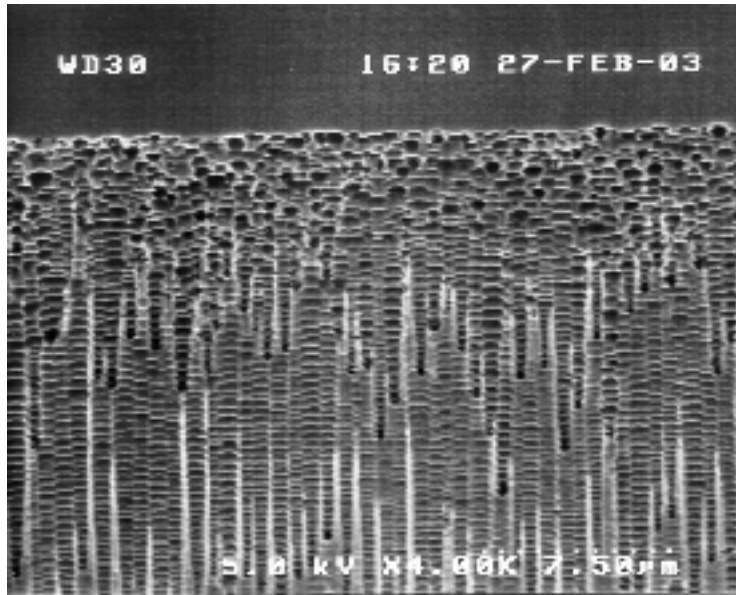


# MEMS FABRICATION BASICS: Pattern Transfer



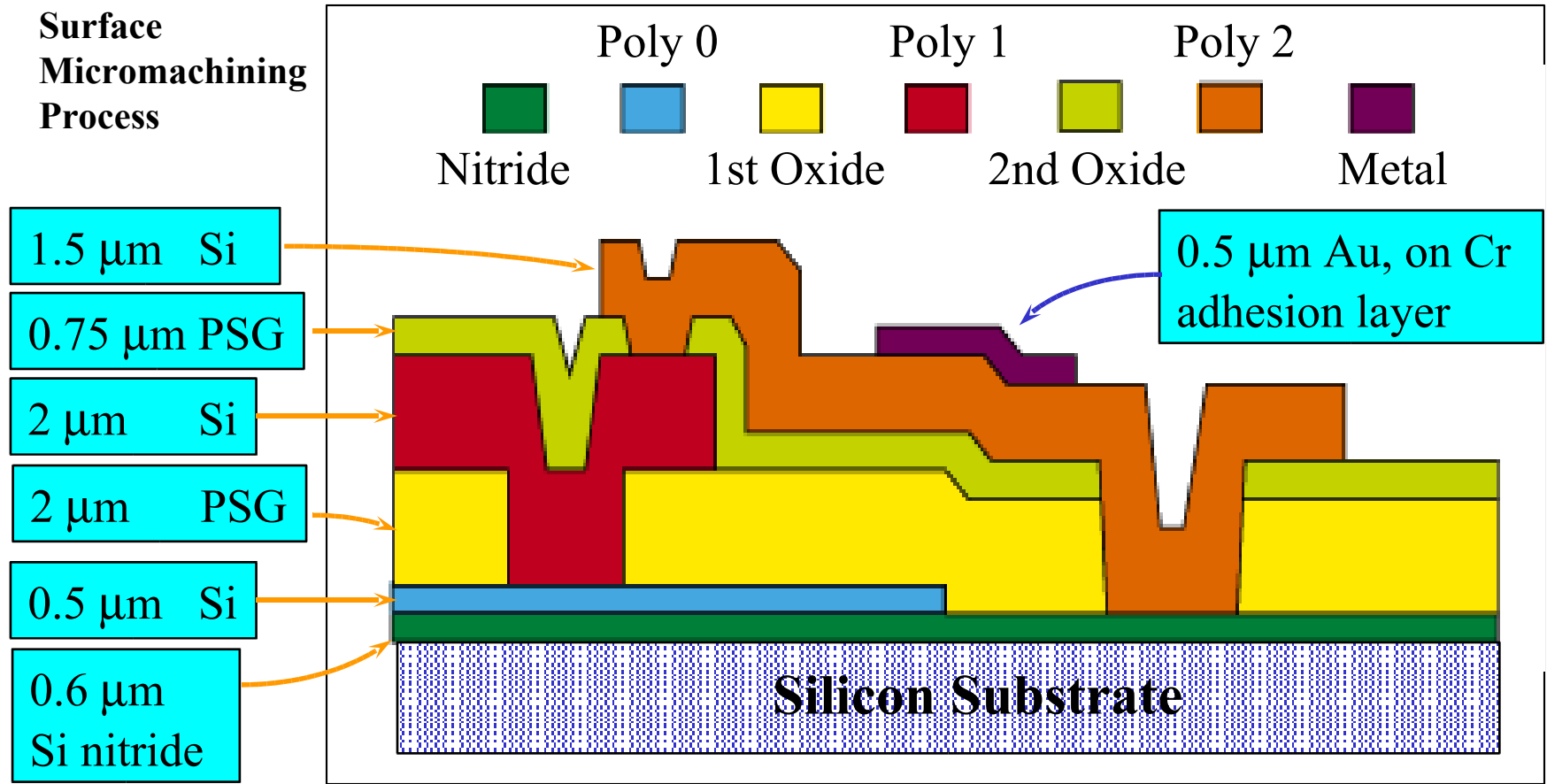
**Etch uniformity, etch stop layers, loading, and etch mask layers**

# MEMS FABRICATION BASICS: Pattern Transfer



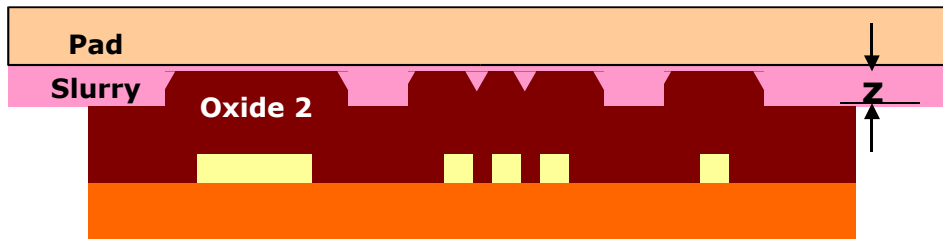
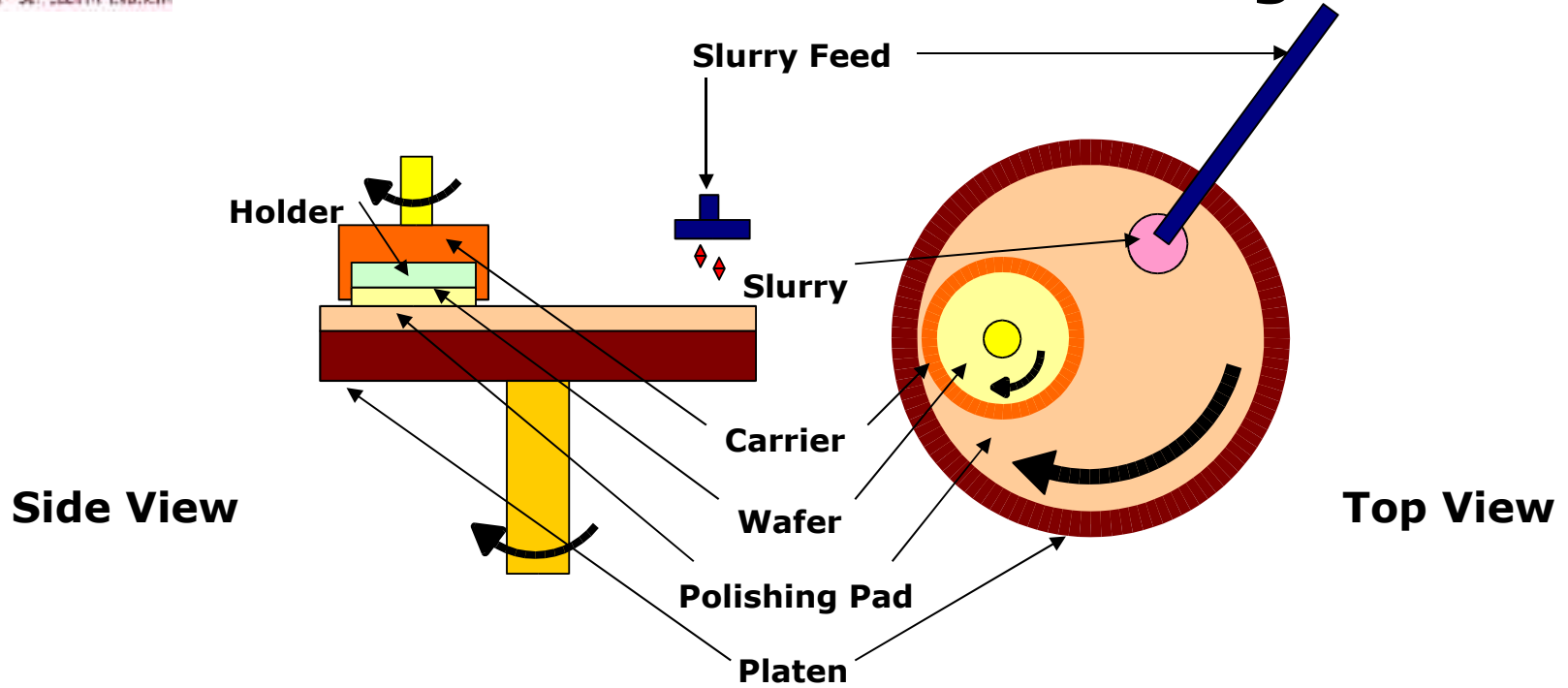
**Silicon sidewall – Bosch  
etch with resist etch mask**

# MEMS FABRICATION BASICS: Chemical Mechanical Polishing



*Multi-User MEMS Processes (MUMPS) Introduction and Design Rules*, rev. 4, 7/15/96,  
 MCNC MEMS Technology Applications Center, Research Triangle Park, NC 27709

# Chemical Mechanical Polishing



$z$  = oxide topography

$t$  = polish time

$p$  = pressure

$v$  = velocity

$\rho(x,y,z)$  = pattern density

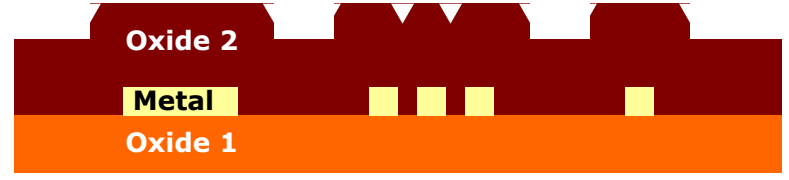
$K_p$  = Preston constant

$K$  = Constant

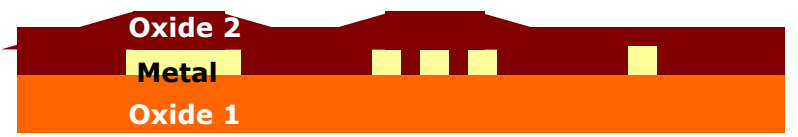
$$\frac{dz}{dt} = -k_p p v = -\frac{K}{\rho(x,y,z)}$$

# Pattern Density Effects

## As Deposited Topography

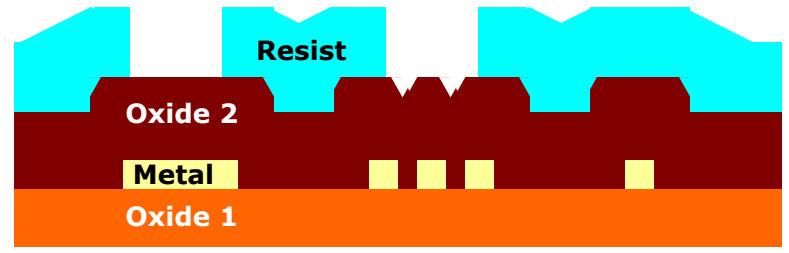


## CMP: Local Planarity

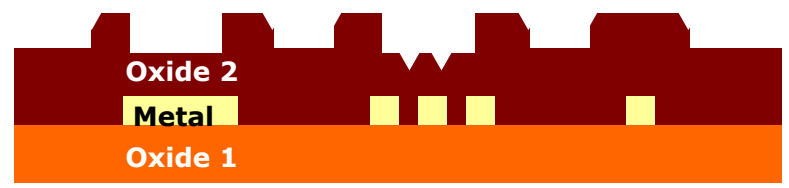


## Global Non-Planarity

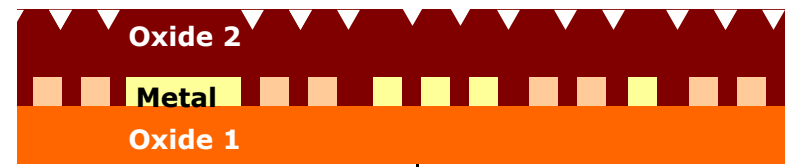
## Reverse Tone: Litho



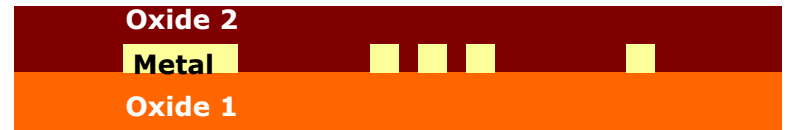
## Reverse Tone: Etch



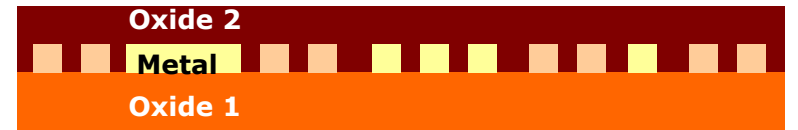
## Dummy Fill Pattern



## CMP: Global Planarity



## CMP: Global Planarity

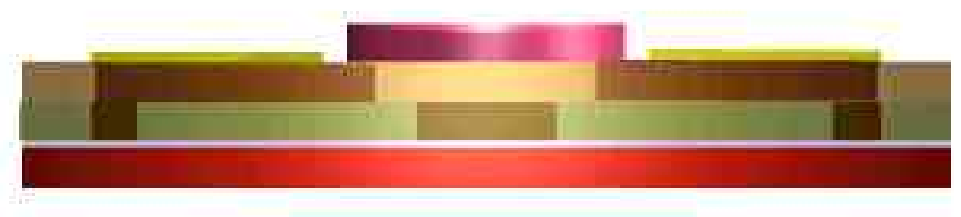
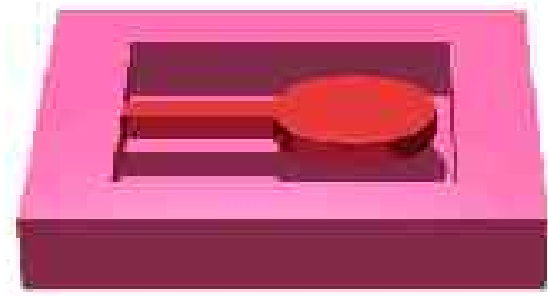
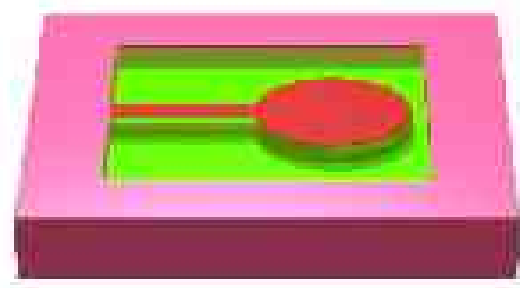


# MEMS FABRICATION BASICS: Release

Layers of **structural materials**, **sacrificial layers**, and **interconnects or electrodes** are deposited and patterned.

The **sacrificial layers** are selectively removed, releasing the moving parts.

Some micromachines self-assemble during release.





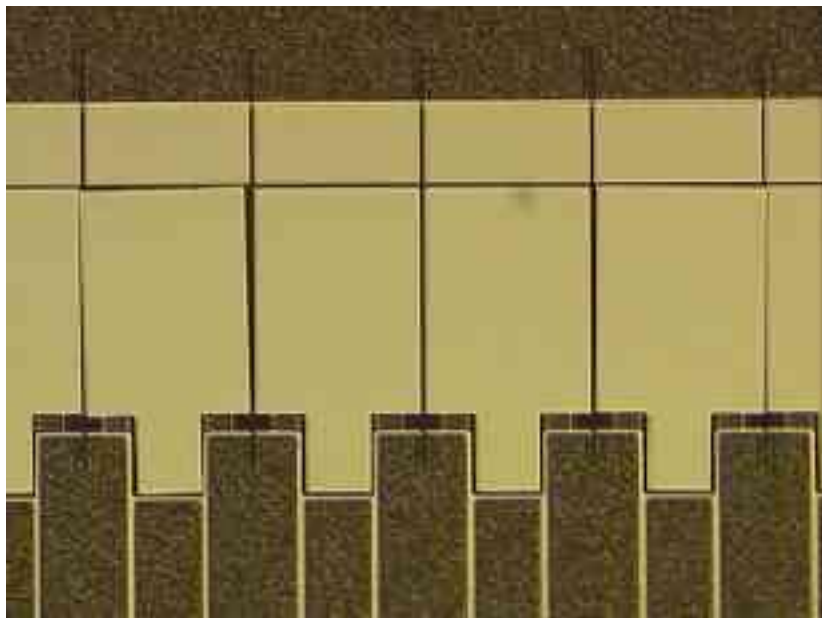
# MEMS FABRICATION BASICS: Release

<b>Structural Material</b>	<b>Sacrificial Material</b>	<b>Release Agent</b>
silicon silicon/germanium	silicon dioxide	Aqueous HF HF Gas PAD Etch*
protected silicon silicon/germanium	silicon germanium	Xenon difluoride gas* Hydrogen peroxide*
Germanium	silicon dioxide	Aqueous HF HF Gas

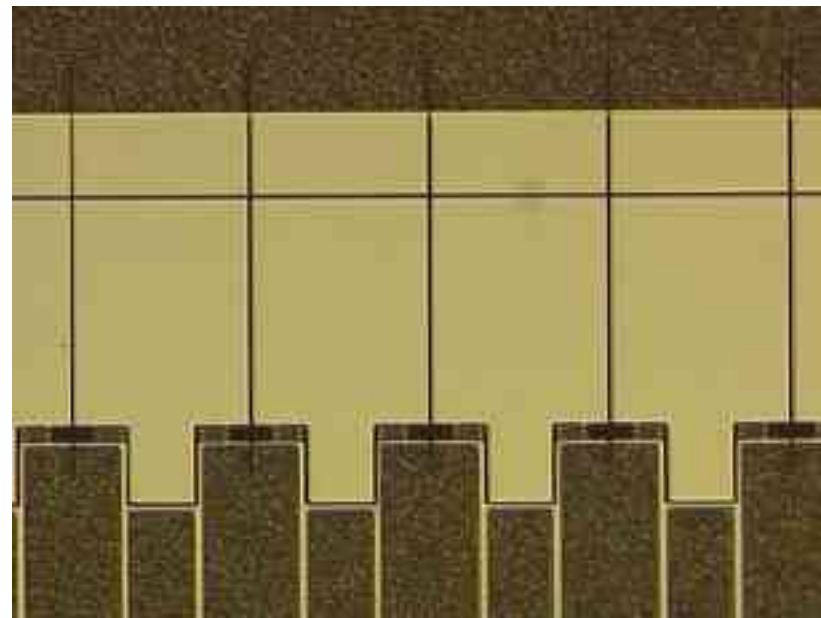
\* Will not attack Aluminum metallization

Post wet release processing usually entails rinse with DI water then solvent or critical CO2 dry.

## MEMS FABRICATION BASICS: Release



Stiction after release



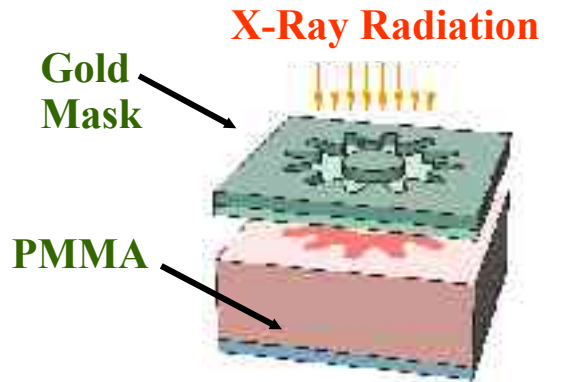
No stiction

# BULK MICROMACHINING: Non-silicon High Aspect Ratio(HAR) MEMS

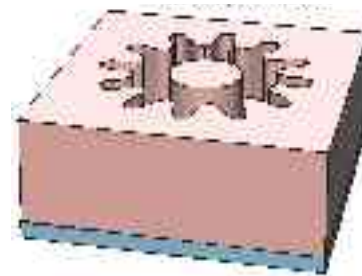
# LIGA Nickel High Aspect Ratio (HAR) Micromachining

(Lithographie, Galvanoformung, Abformung (LIGA))

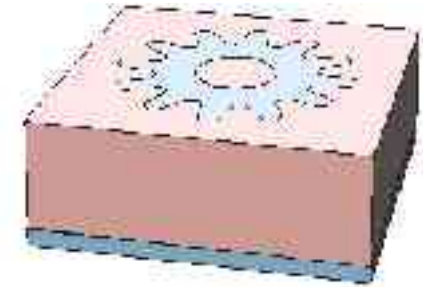
E. W. Becker et al., "Microelectron. Eng.", vol. 4, pp. 35-36, 1986.



1. Expose PMMA to **Synchrotron radiation**



2. Develop PMMA into mold

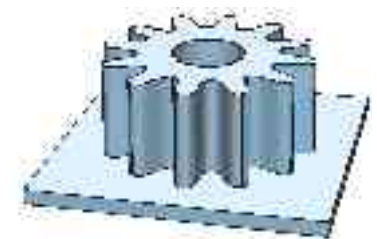


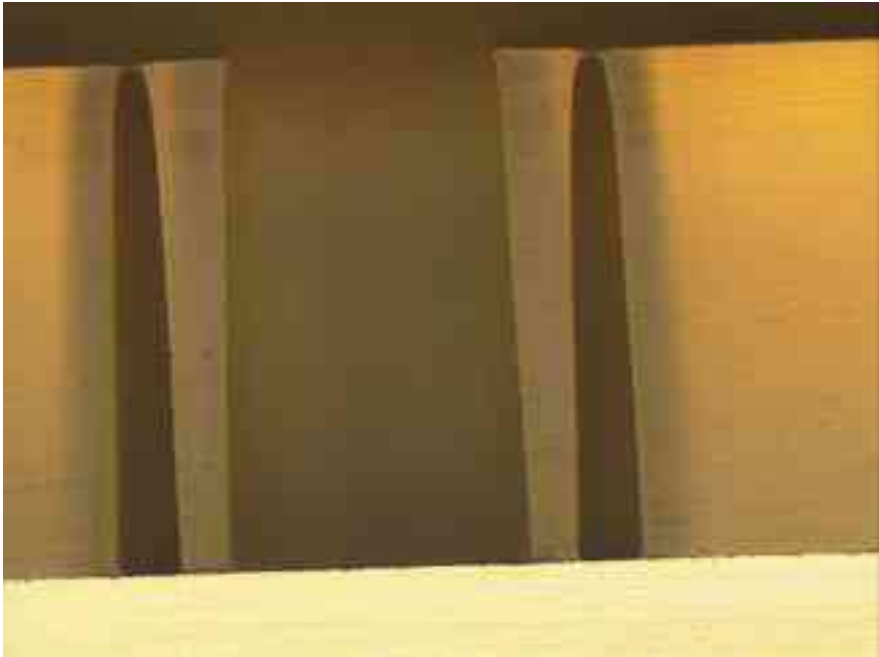
1. Electroplate into mold and remove mold material.–

Feature lengths and widths as small as 20um

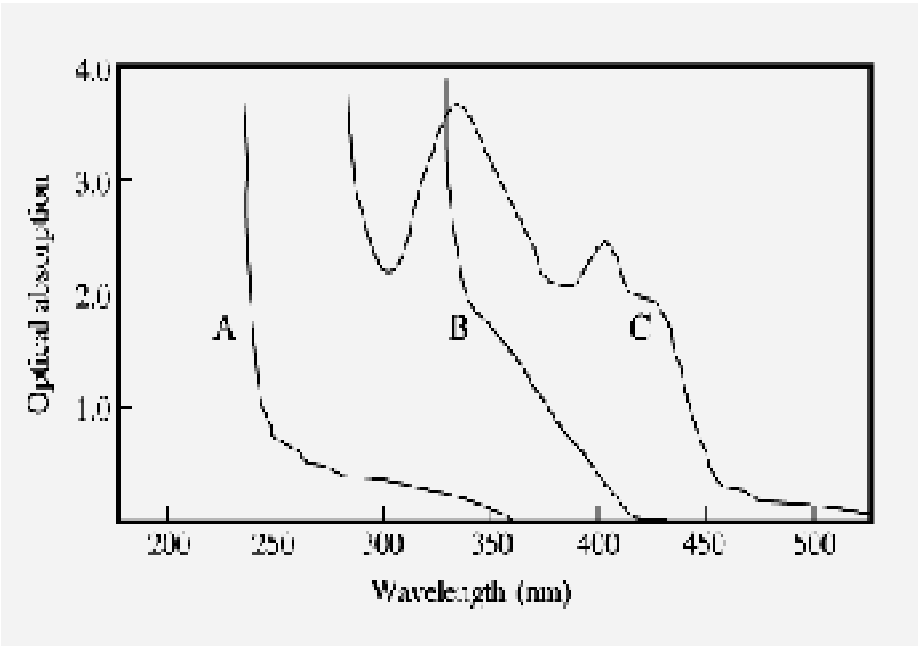
Feature heights are from 200-300um

Aspect Ratios > 10





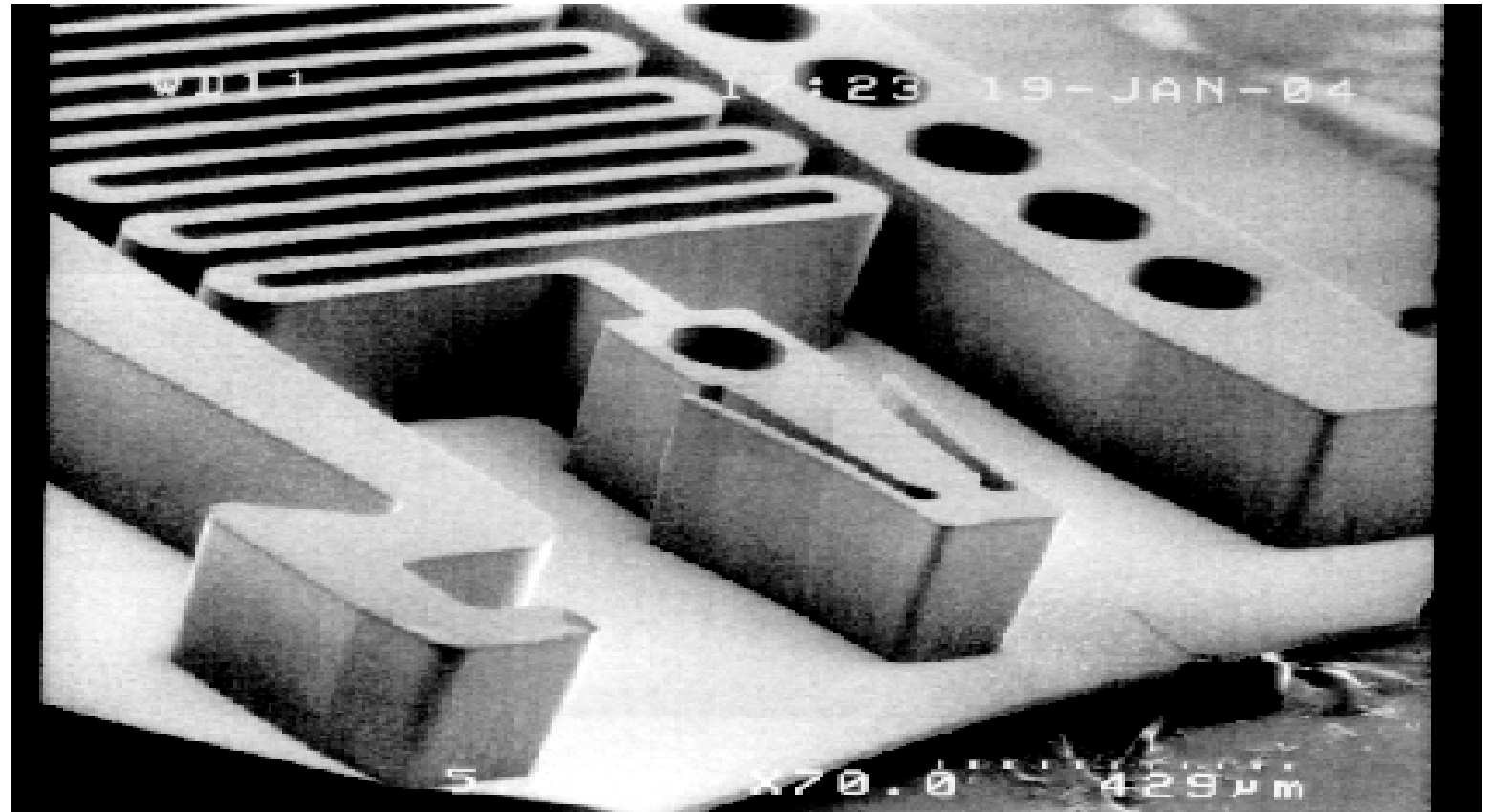
22um gap, 24um line feature Profiles in 290um thick SU-8 film with non-optimized process.



Optical absorption of SU-8 (A) as compared to a diazo type resist system (B) and Dupont RISTON dry film (C)

\* Reference: N. LaBianca, and J. Delorme, "High aspect ratio resist for thick film applications", in Proc. SPIE vol. 2438, SPIE, (1995)

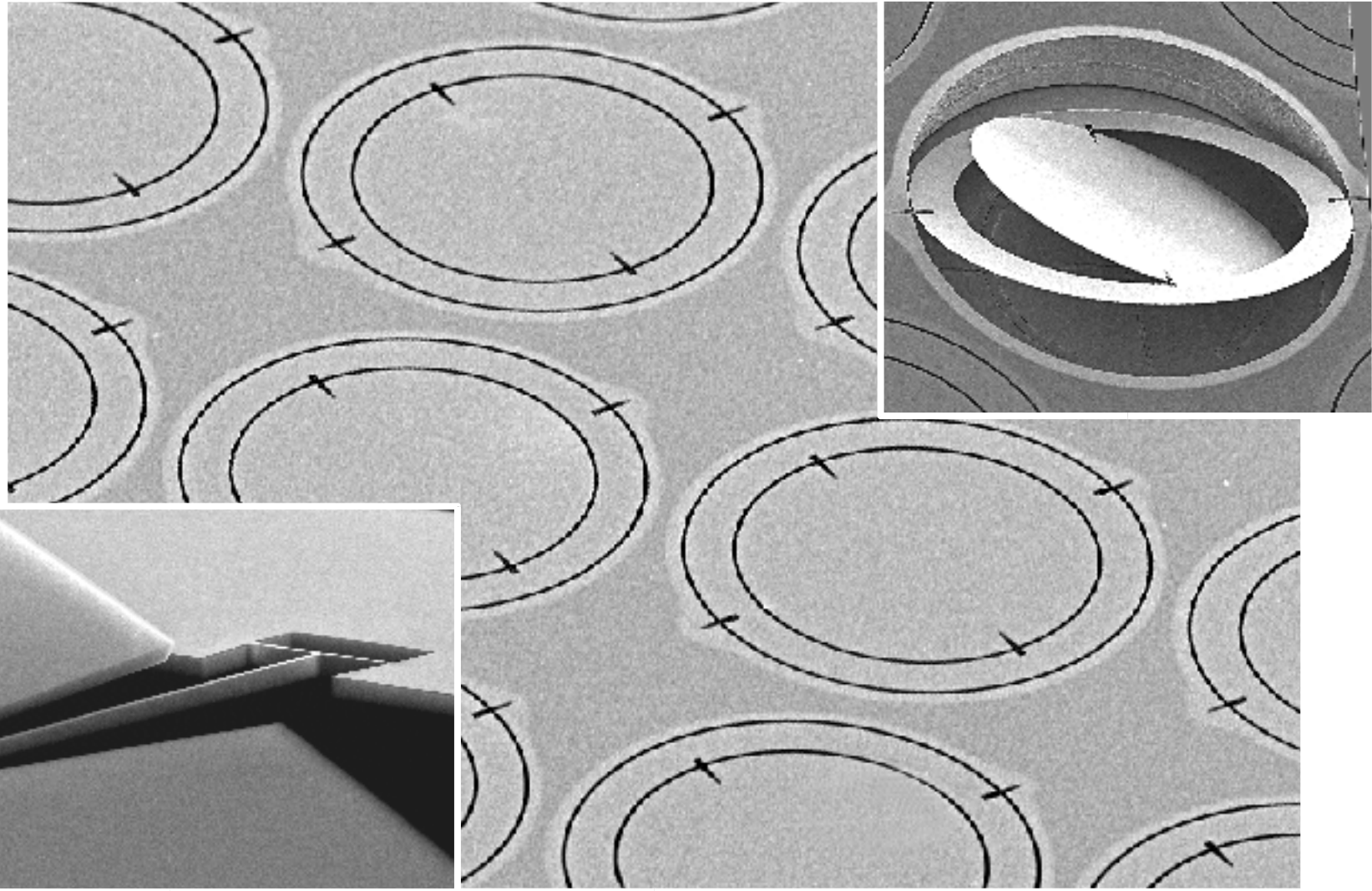
# BULK MICROMACHINING: Silicon Dry Etch Based



**Bulk machined parts in 300um silicon using  
Modified Bosch process.**

# BULK MICROMACHINING: SOI

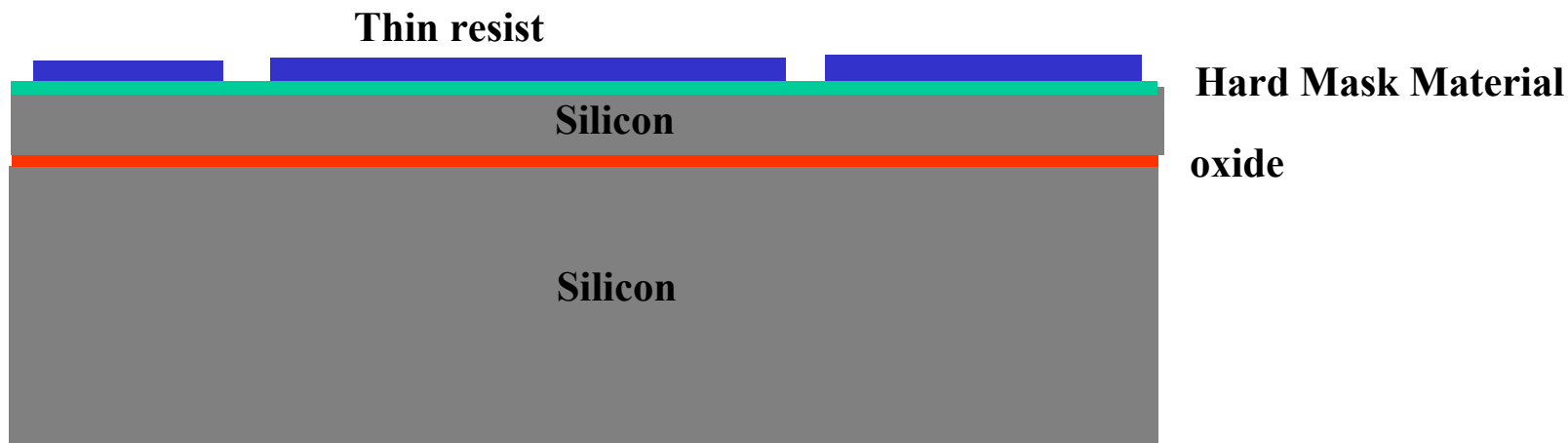
## Lucent Lambda Router Mirrors ( Bishop et al.)



# BULK MICROMACHINING

**Pattern Front-side of SOI ( Silicon on Insulator)  
wafer with Mirror Array Pattern:**

**High Throughput, High Resolution, High  
alignment precision step and repeat exposure  
tool**

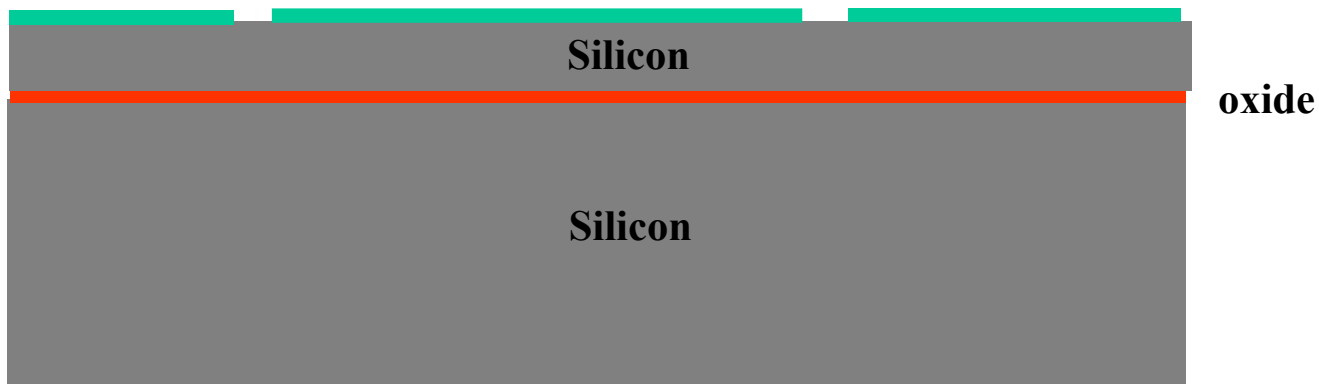




# BULK MICROMACHINING

**Pattern Transfer Resist Pattern into Hard Mask  
Material with Dry Etch**

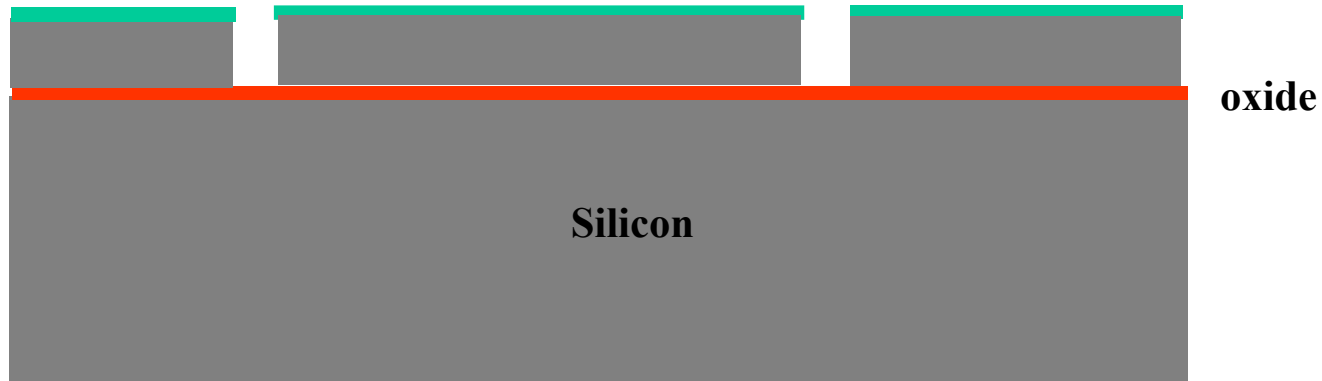
**Strip and clean residual resist**



# BULK MICROMACHINING

**Pattern Transfer Resist Pattern into Hard Mask  
Material with Dry Etch**

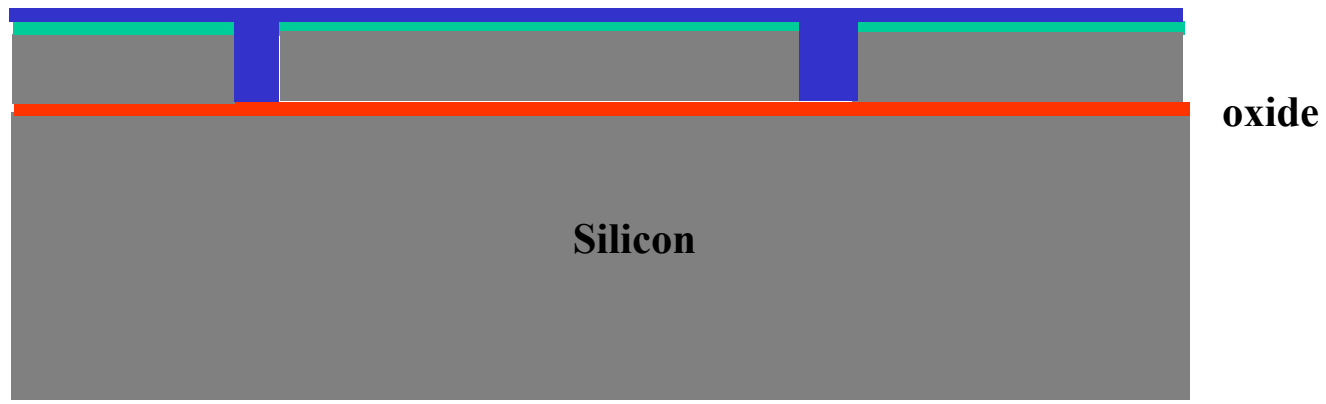
**Strip and clean residual resist**



# BULK MICROMACHINING

## Protective Coat Patterned Front-side

Coating can also act to balance stress for High yield release

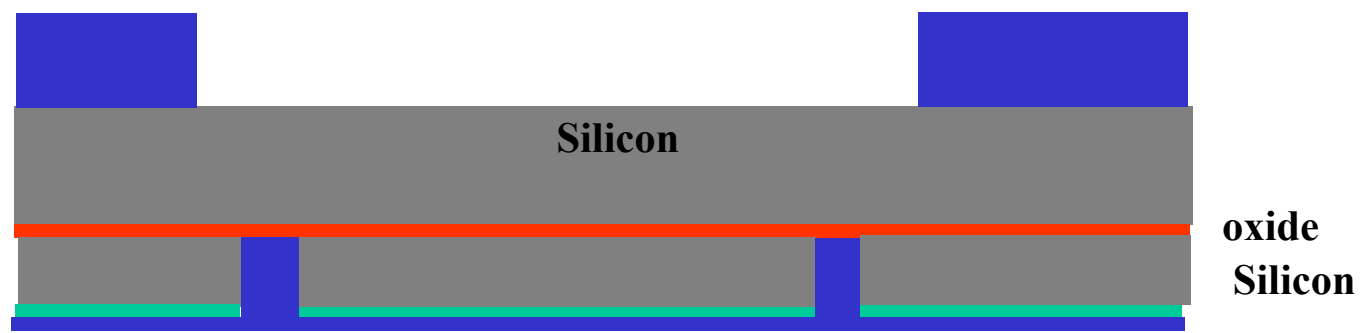


# BULK MICROMACHINING

**Handle wafer thinning**

**Wafer wet cleaning**

**Backside lithography with contact printer**



# BULK MICROMACHINING

## Backside Cavity Deep Reactive Ion Etch



# BULK MICROMACHINING

**Backside resist strip and clean**

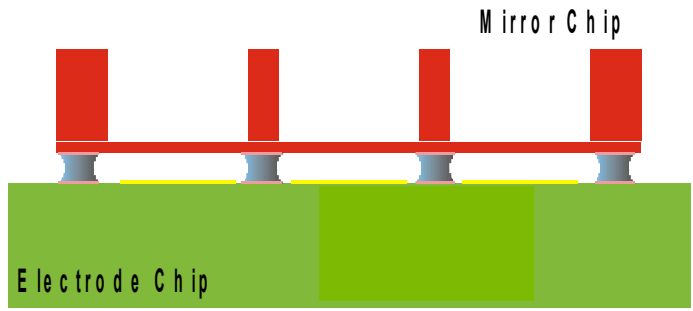
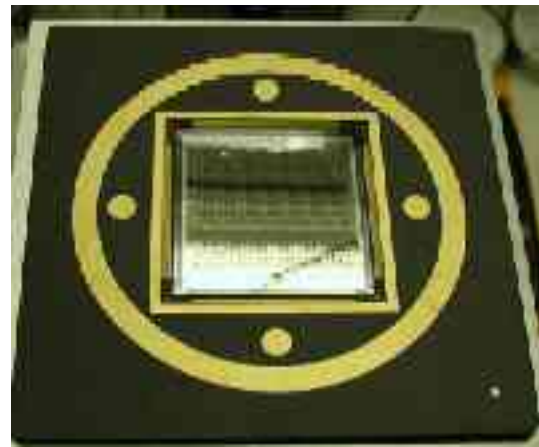
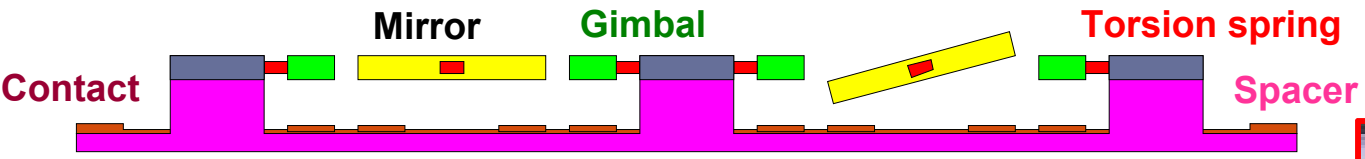
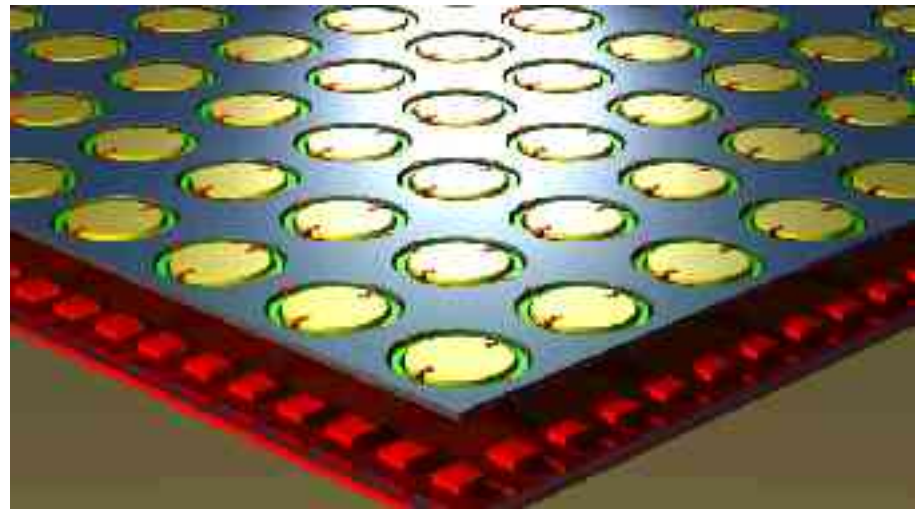
**Wafer wet release in HF**

**Mirror Coat at Chip Scale**



# Single-Crystal Silicon Micromirrors

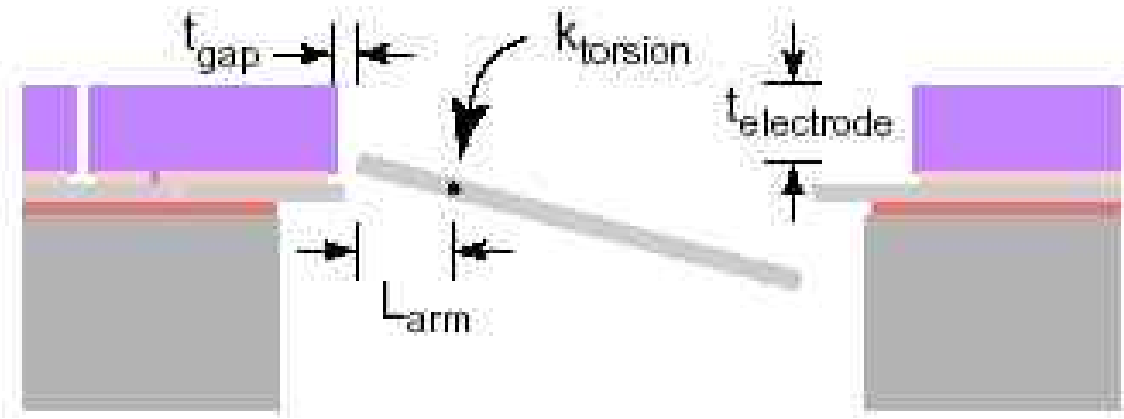
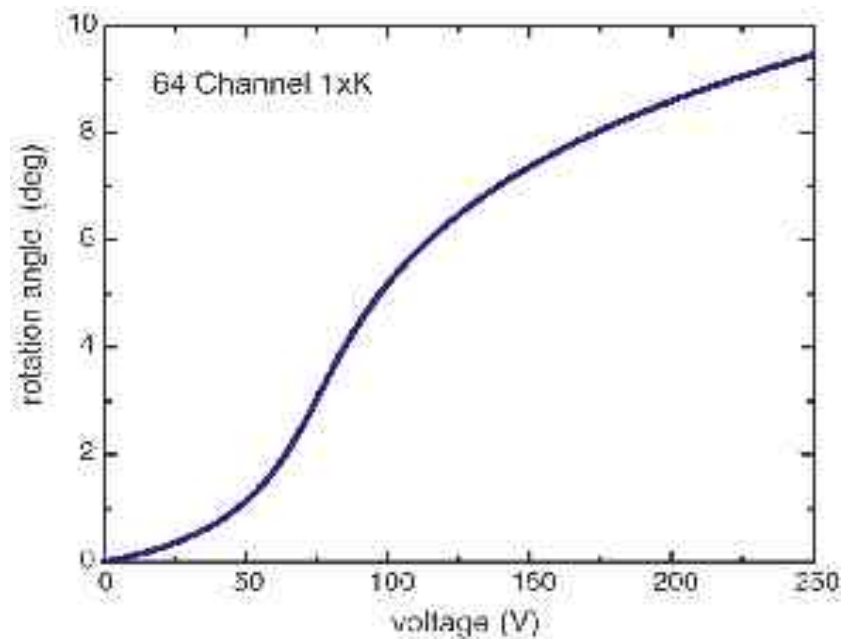
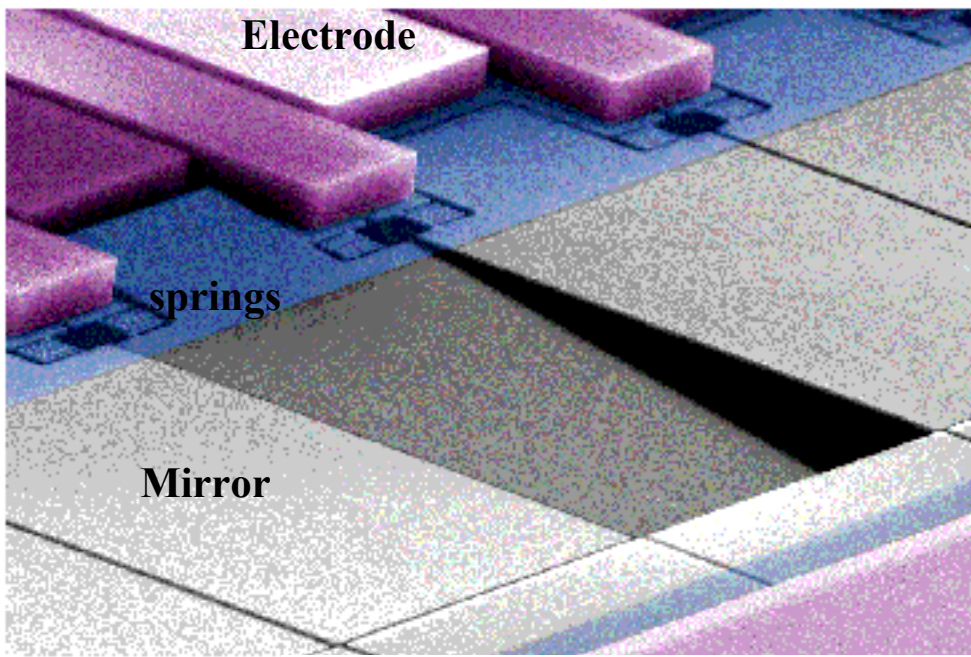
## 1296 mirror array (36x36)



# HYBRID MICROMACHINING PROCESS AND EXAMPLE



# Monolithic Fringing-Field 1xN Switch



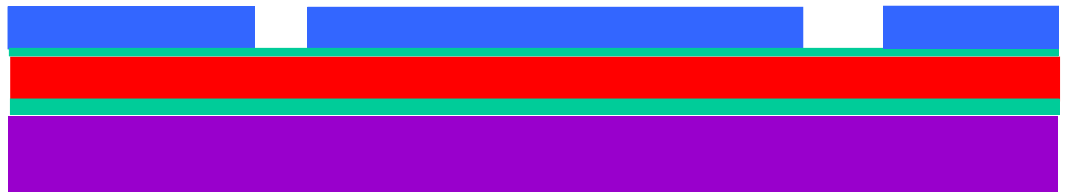
Greywall, Pai et al.  
Bell Labs  
NJNC  
Lucent Technology.

# Monolithic Fringing Field Process

SOI wafer: Si on 0.4 um buried oxide on substrate



SOI Lithography: Pattern resist on hard-mask 0.4 um/0.4 um L/S with DUV step and repeat system.



SOI Etch: etch hard-mask, resist strip & clean, Si etch.



**Plasma enhanced  
 Dielectric Deposition:  
 PETEOS/PE-BPTEOS  
 Total Thickness = 0.7um**

**Dielectric flow: 1000 C 1 min  
 N2**



**W1 Lithography with DUV step  
 and repeat system: 5 um  
 contact size**

**Photo resist dispense optimized  
 for topography coverage**



**W1 Etch: contacts to SOI and  
 substrate, plasma strip resist  
 and wet clean wafers**



## Monolithic Fringing Field Process

**Poly 1 Deposition: 10  
um Boron doped  
\*0.1-0.2 ohm-cm**



**Align mark open:**

**1. PR mask lithography,  
and etch.**

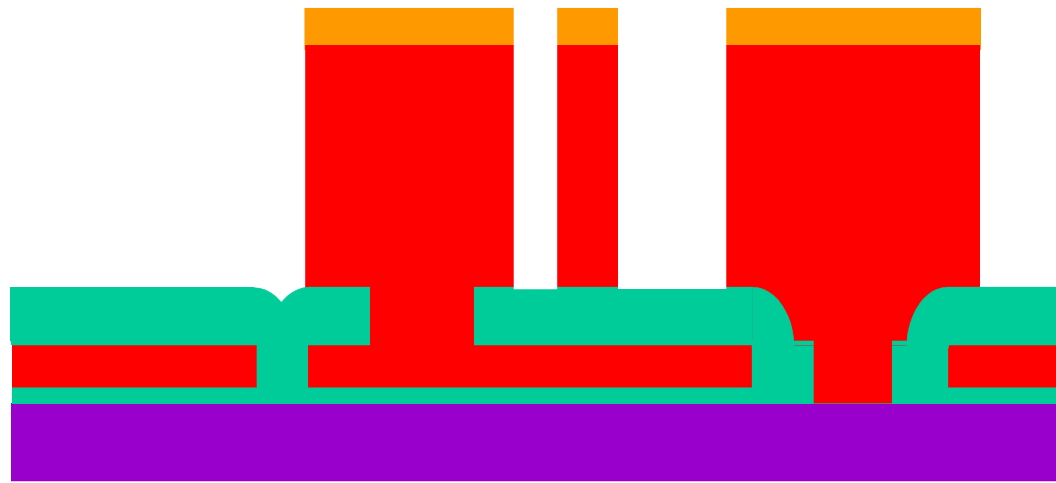


# Monolithic Fringing Field Process

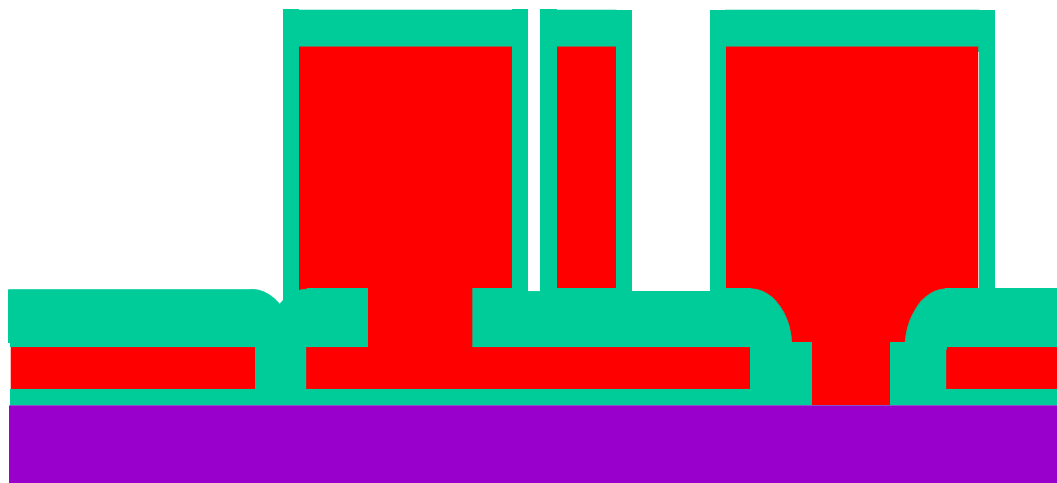
**Poly1 Lithography:**  
3 um/3 um L/S



**Poly 1 Etch: etch 10 um poly,**  
stopping on oxide

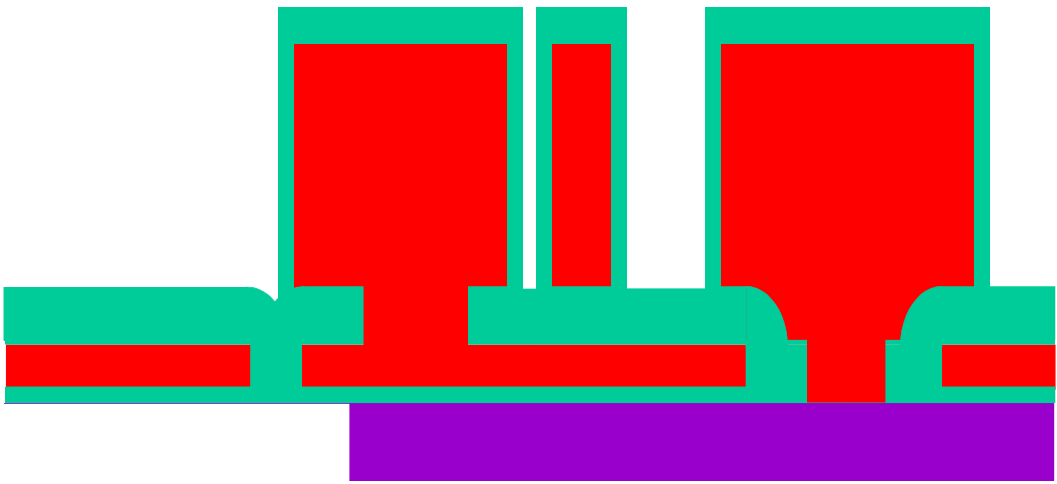


**Protective Deposition: 0.2 um PETEOS**



**Backgrind to 285 um**

**Cavity Opening: etch ~270 um backside Si, stopping on BOX**

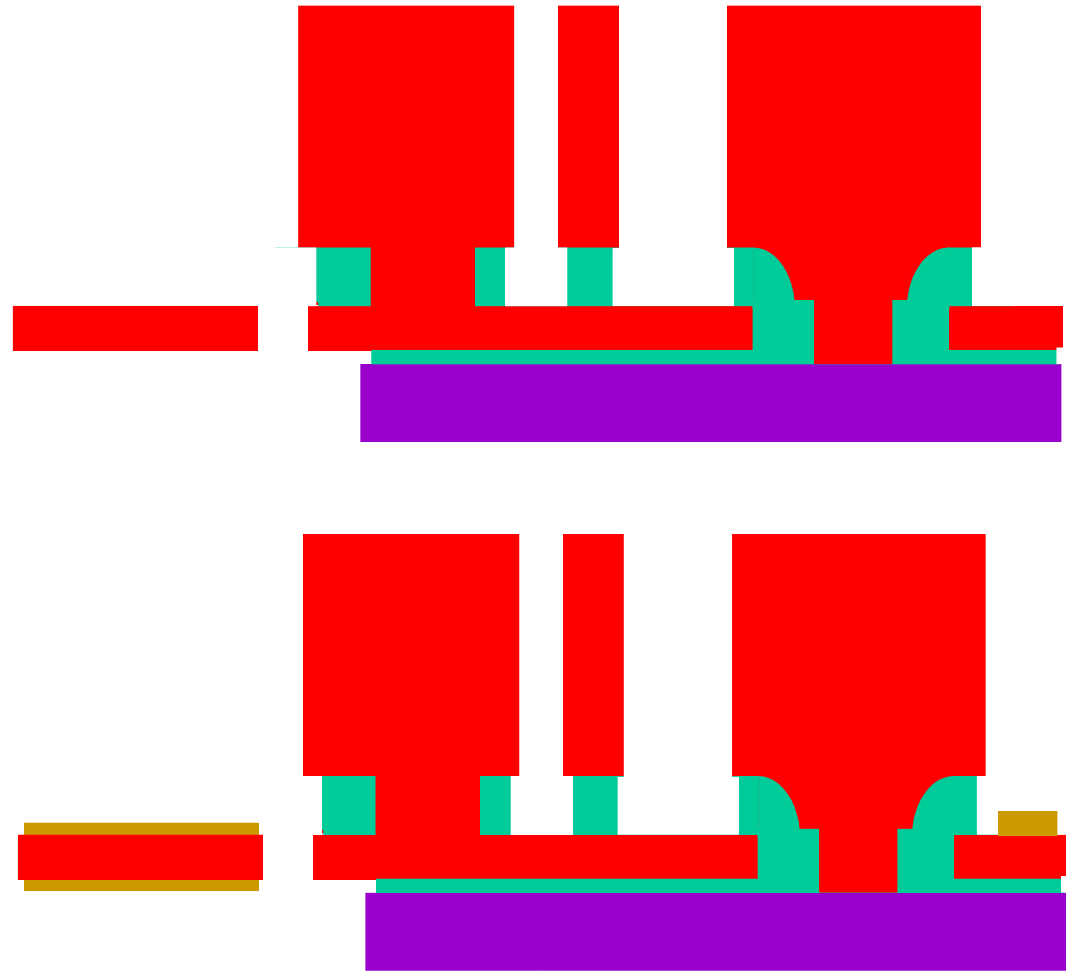


**Full Wafer Release:**

**HF 10:1 40 min**

**Scribe & Break**

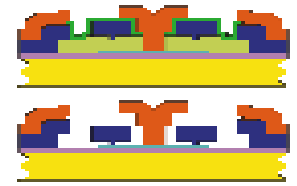
**Al Metalization: 500A mirror front & back, 6000A bond pads**



# SURFACE MICROMACHINING



# Surface Micromachining



## Material Systems:

**High Temperature:** poly-silicon structural and conductor, silicon dioxide sacrificial layer. Wet and dry release systems available.

**Low Temperature:** poly-silicon germanium structural and conductor, germanium sacrificial. To date , wet release systems only.

## Advantages:

Single side processing eliminates need for special tools and chucking.

Single side processing opens door to foundry fabrication.

Can be scaled to sub-micron using already available tools with some exceptions.

Holds possibility (low temp) for modular integration with optimized CMOS.

## Disadvantages:

New low temperature materials and fabrication processes must be developed and optimized.

# SURFACE MICROMACHINING

## MEMS – Electronics Integration

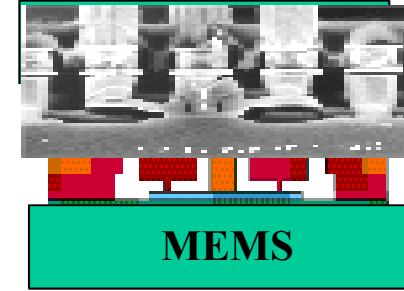
MEMS

MOS



### MEMS-on-top

- Low cost CMOS foundry
- Low temp MEMS process
- Minimize interconnect length



MOS

MEMS

### MEMS-MOS

#### Separate

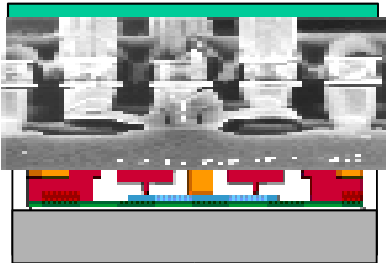
- Interconnect number
- Interconnect characteristics
- Separate process optimization
- Low cost CMOS foundry
- Complicates packaging\_

### MEMS Inside

- More capital investment
- High temp MEMS only
- Minimize interconnect length

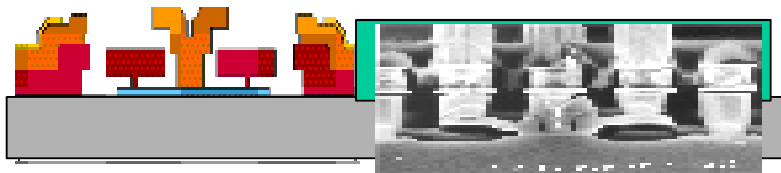
MOS

MEMS



MEMS

MOS



### MEMS-Mixed

- Processing compromises for both
- More capital investment
- High temp polysilicon based can be used

# SOFT MEMS: Position and Shape control of small droplets of condensed matter through surface patterning and electro-wetting



Tom Krupenkin, Ashley Taylor,  
Tobias Schneider,  
Shu Yang, Avi Kornblit  
Bell Labs, NJNC Lucent Tech.

# Contact angle

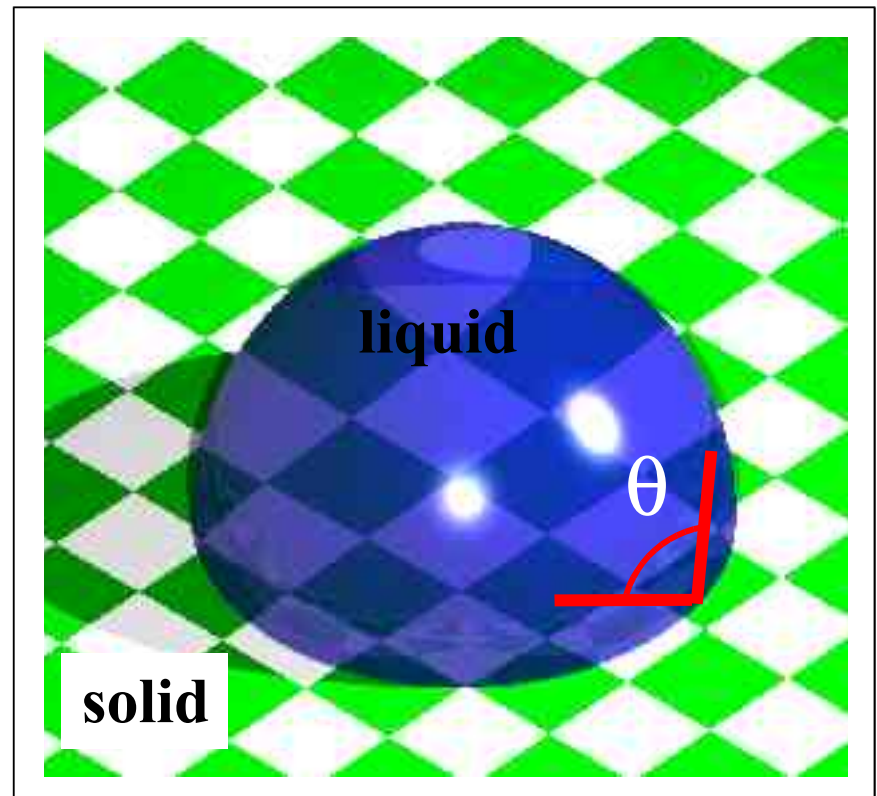
## Definition of the contact angle $\theta$

### Surface tension dominates

$$d \sim 10^1 - 10^3 \mu\text{m}$$

Contact angle  $\theta$  is determined by the interfacial tensions  $\gamma$ :

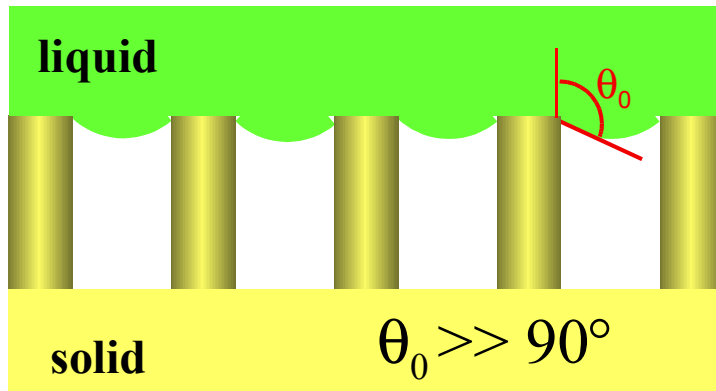
$$\cos \theta = \frac{\gamma_{\text{Solid-Vapor}} - \gamma_{\text{Solid-Liquid}}}{\gamma_{\text{Liquid-Vapor}}}$$



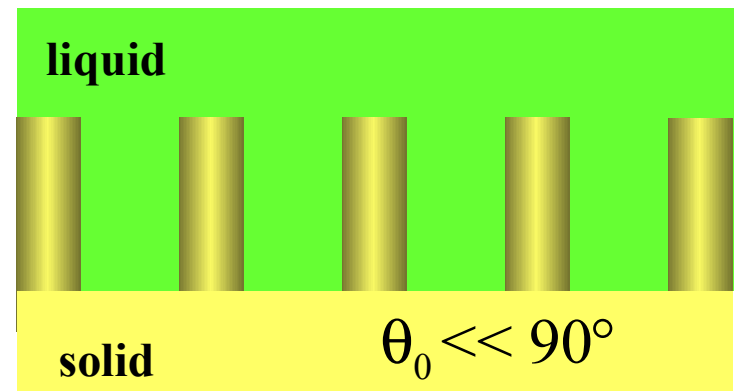
*d*

# Liquids on nanostructured surfaces

## Superhydrophobic



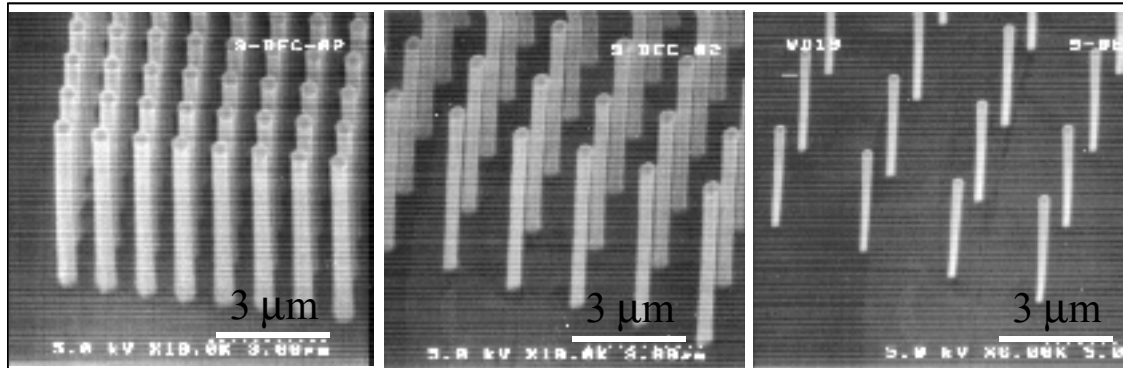
## Wetting



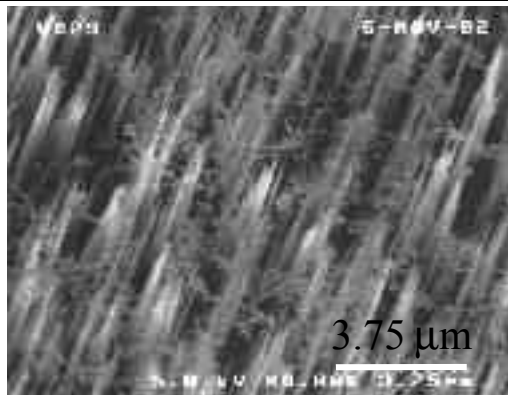
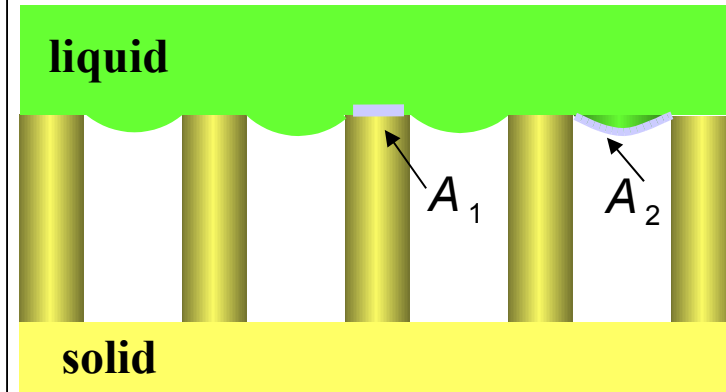
- Surface tension adjustment
- Electrowetting

# Nanostructured surfaces

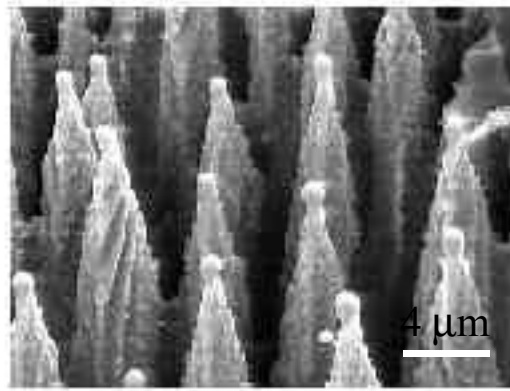
Typical examples of the structures currently available for us



Ashley Taylor (NJNC, 2002)



Ashley Taylor (NJNC, 2002)



Eric Mazur *et. al* (Harvard, 2001)

$$\cos \theta = \frac{\gamma_{\text{Solid-Vapor}} - \gamma_{\text{Solid-Liquid}}}{\gamma_{\text{Liquid-Vapor}}}$$

$$f = \frac{\gamma_{\text{Solid-Liquid}}}{\gamma_{\text{Solid-Liquid}} + (1-f)\gamma_{\text{Liquid-Vapor}}}$$

Cassie & Baxter (1944)

# Nanostructured surfaces

## Droplet transport:

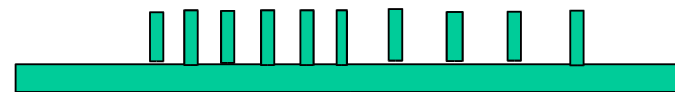
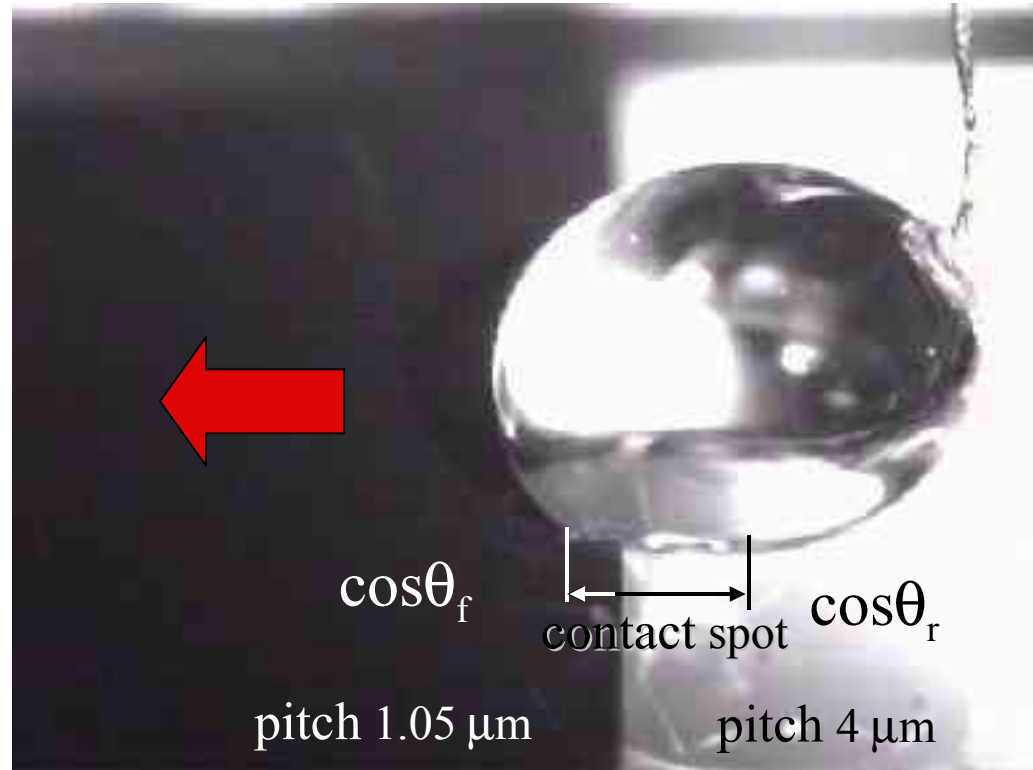
Molten salt\*,  $\gamma = 62 \text{ mN/m}$

\*1-ethyl-3-methyl-1 H-imidazolium tetrafluoroborate

$$dF = \gamma_{LV} (\cos\theta_f - \cos\theta_r) dy$$

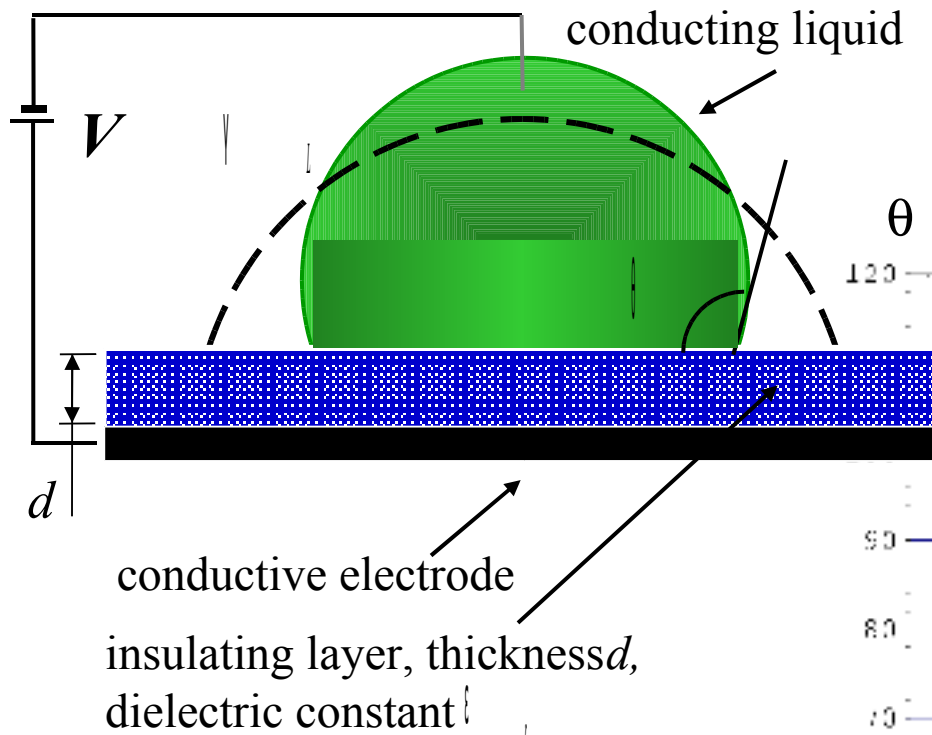
$$\cos\theta_f - \cos\theta_r = (f_f - f_r)(\cos\theta_0 + 1) \quad f_f > f_r$$

$$f = A_1 / A_2$$

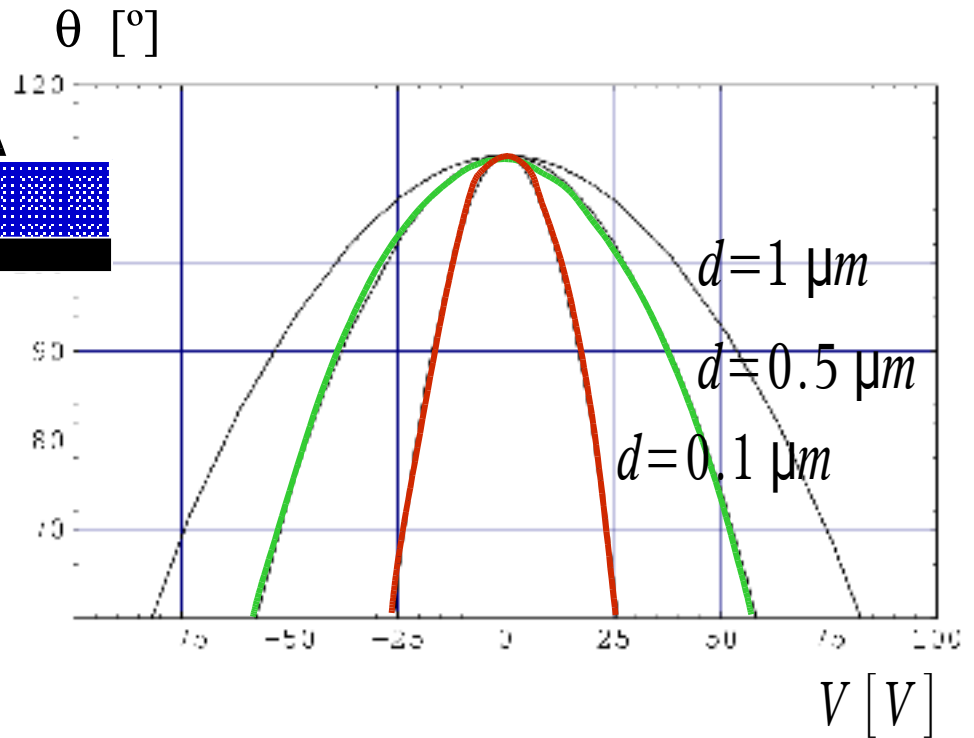


# Electrowetting

$\theta$  can be reversibly changed using electrowetting



**Example:** Water droplet on Cytosol® surface  $\gamma_L = 72 \text{ mN/m}$   
 $\epsilon_r = 2.1$   $\theta(V=0) = 112^\circ$

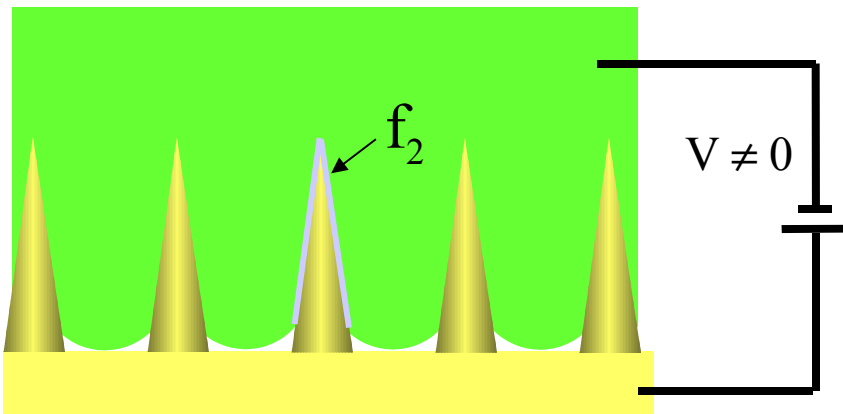
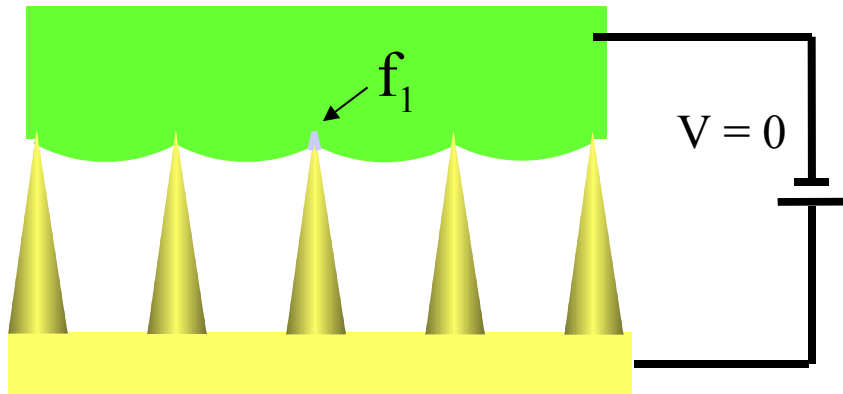


$$\cos \theta(V) = \cos \theta(V=0) + \frac{\epsilon_r - \epsilon_0}{2d\gamma_L} V^2$$



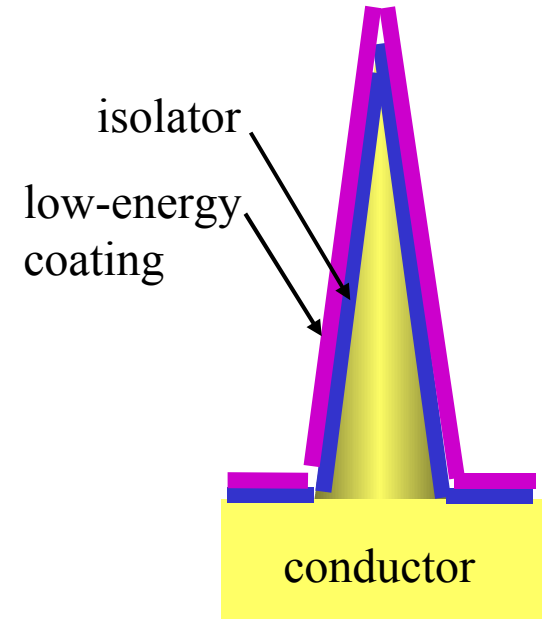
# Nanostructured surfaces

## Electrowetting



$$f_2 \gg f_1$$

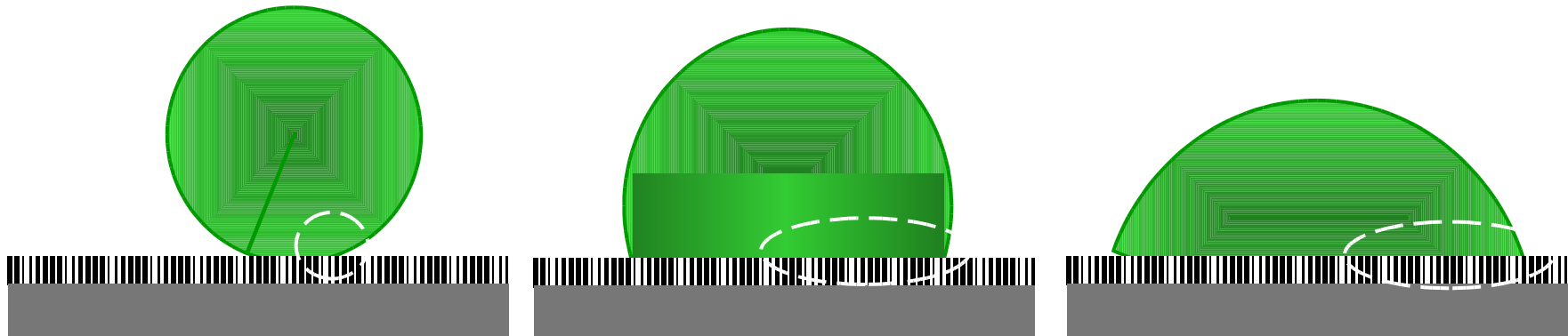
$$\cos\theta \sim f$$



potentially strongly nonlinear effect  
 contact angle control  
 contact angle hysteresis control  
 potentially very low voltage

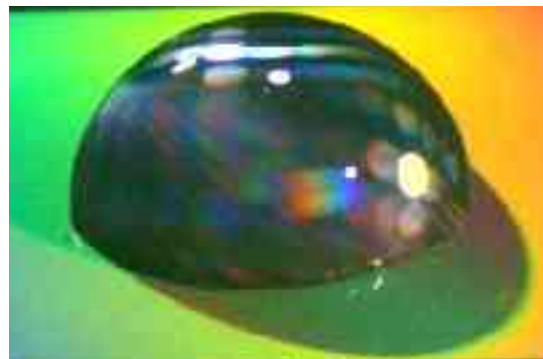
# Nanostructured surfaces

## Microscopic picture



**rolling ball**

no penetration



**sticky droplet**

complete penetration

Lucent Technologies Proprietary

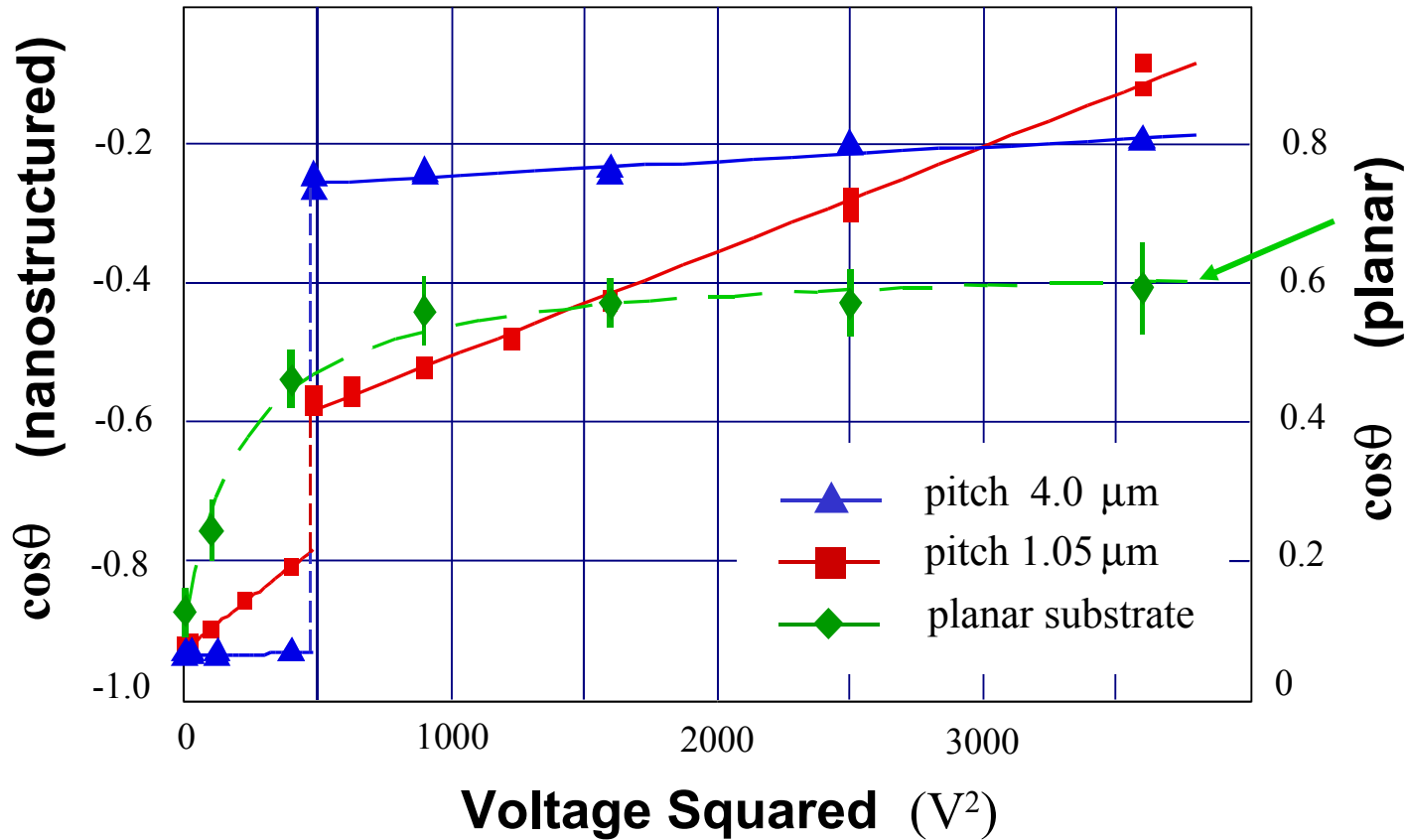


**electrowetted droplet**

complete penetration

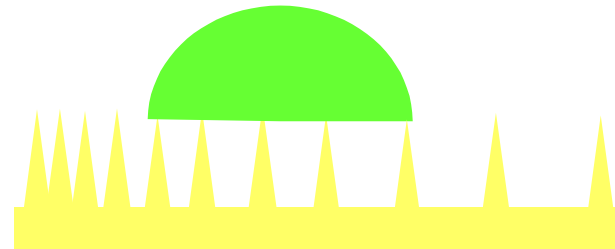
# Electrically induced transitions

## Rolling ball - Sticky droplet transition

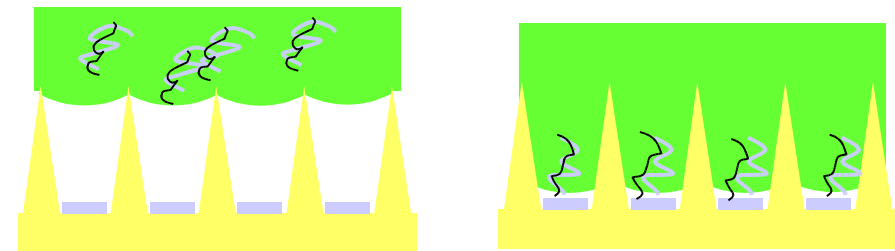


# Other Applications

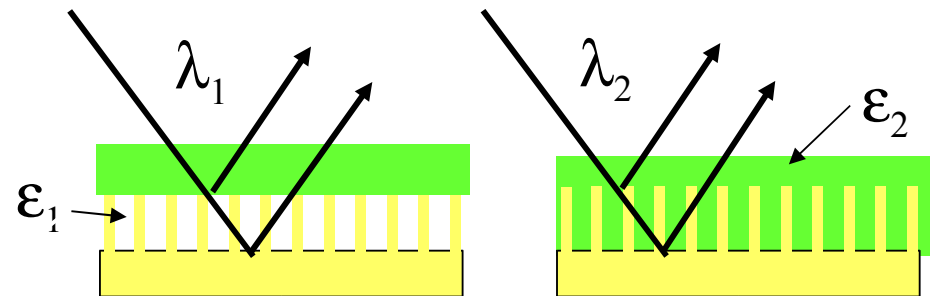
Controllable transport networks



Chemical and bio-sensors



Dynamic wavelength selective filters



# Acknowledgements:

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**M. Simon**

**B. Frahm**

**A. Gasparyan**

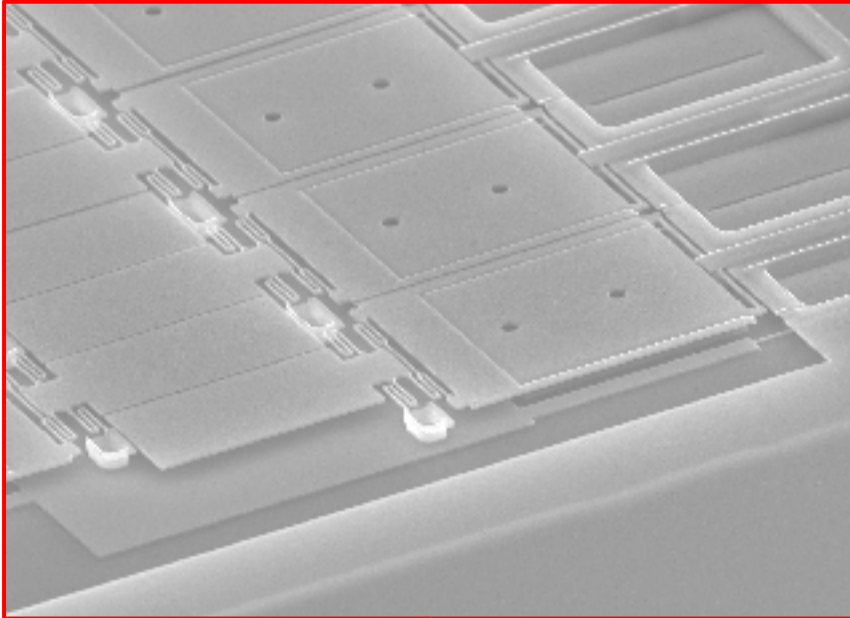
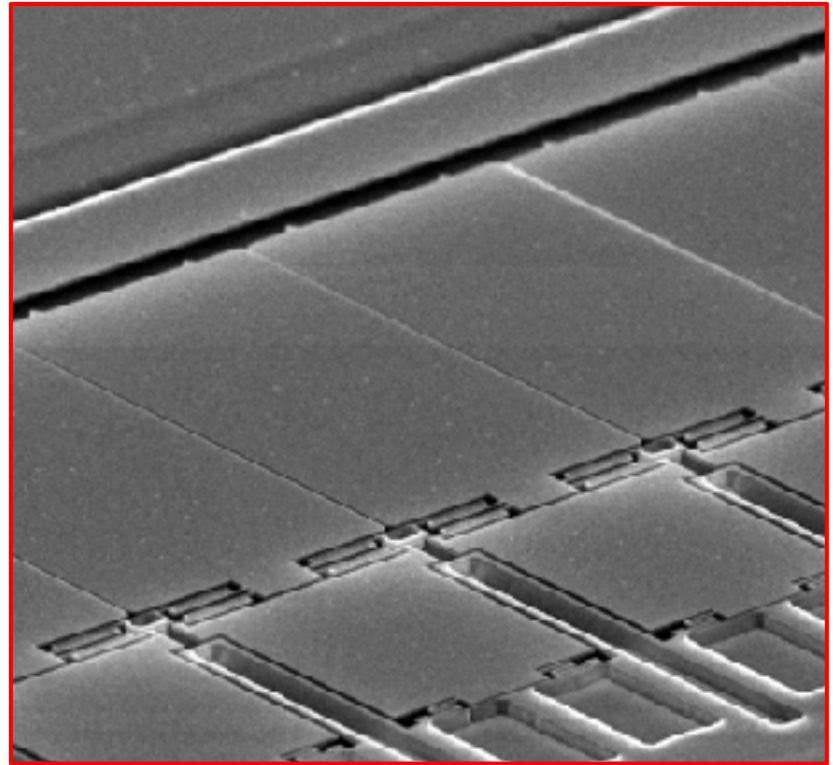
**R. Papazian**

# MEMS FABRICATION BASICS: Deposition

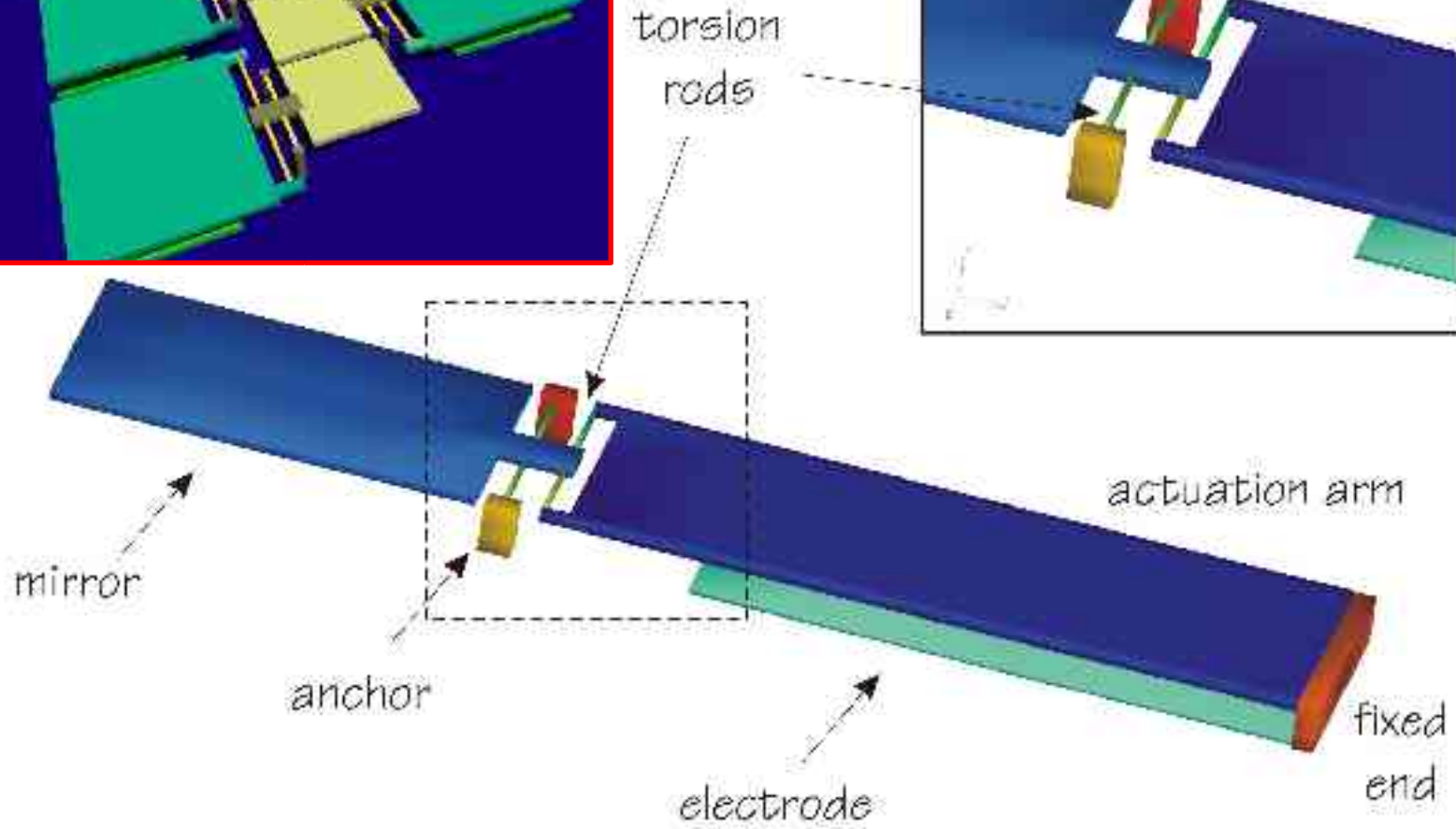
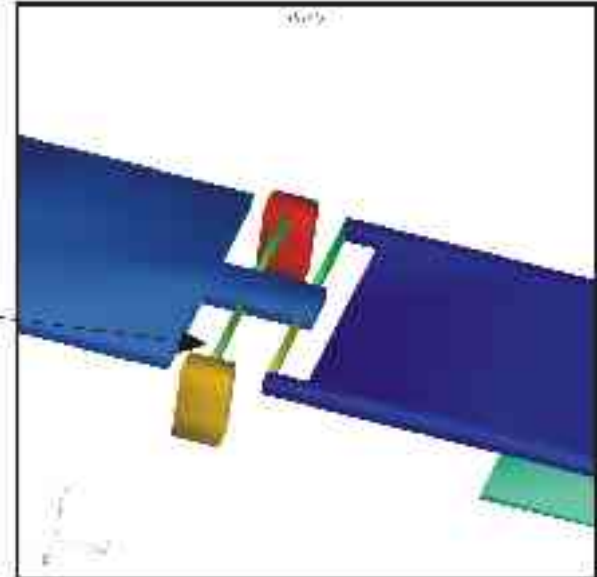
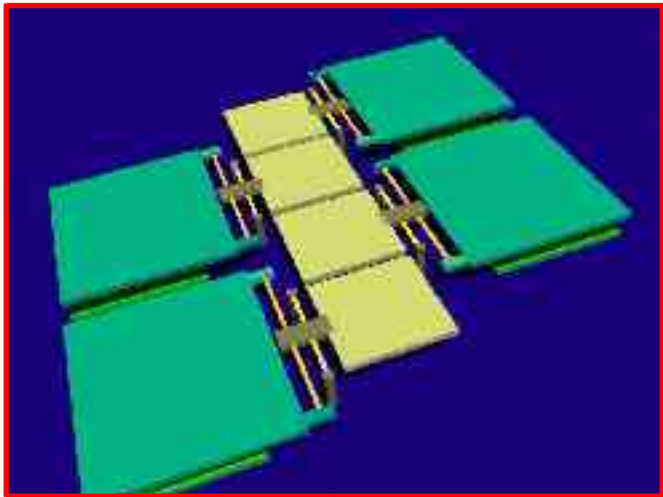
## Critical Issues for MEMS

Currently available materials ( polysilicon and single crystal Silicon) are either not possible at low temperatures.( $<600\text{C}$ ) or cannot be made low stress at low temperature. Low temperature, low stress materials such as poly Si-Ge are currently being developed but they have not been rigorously tested for reliability for long term reliability.

- **>10 degrees of continuous tilt**
- **10  $\mu\text{m}$  to 200  $\mu\text{m}$  mirrors**
- **actuation voltage < 100V**
- **high speed**
- **high fill factor (0.3  $\mu\text{m}$  gap btw mirrors)**
- **no electromechanical crosstalk**
- **surface-micromachined**

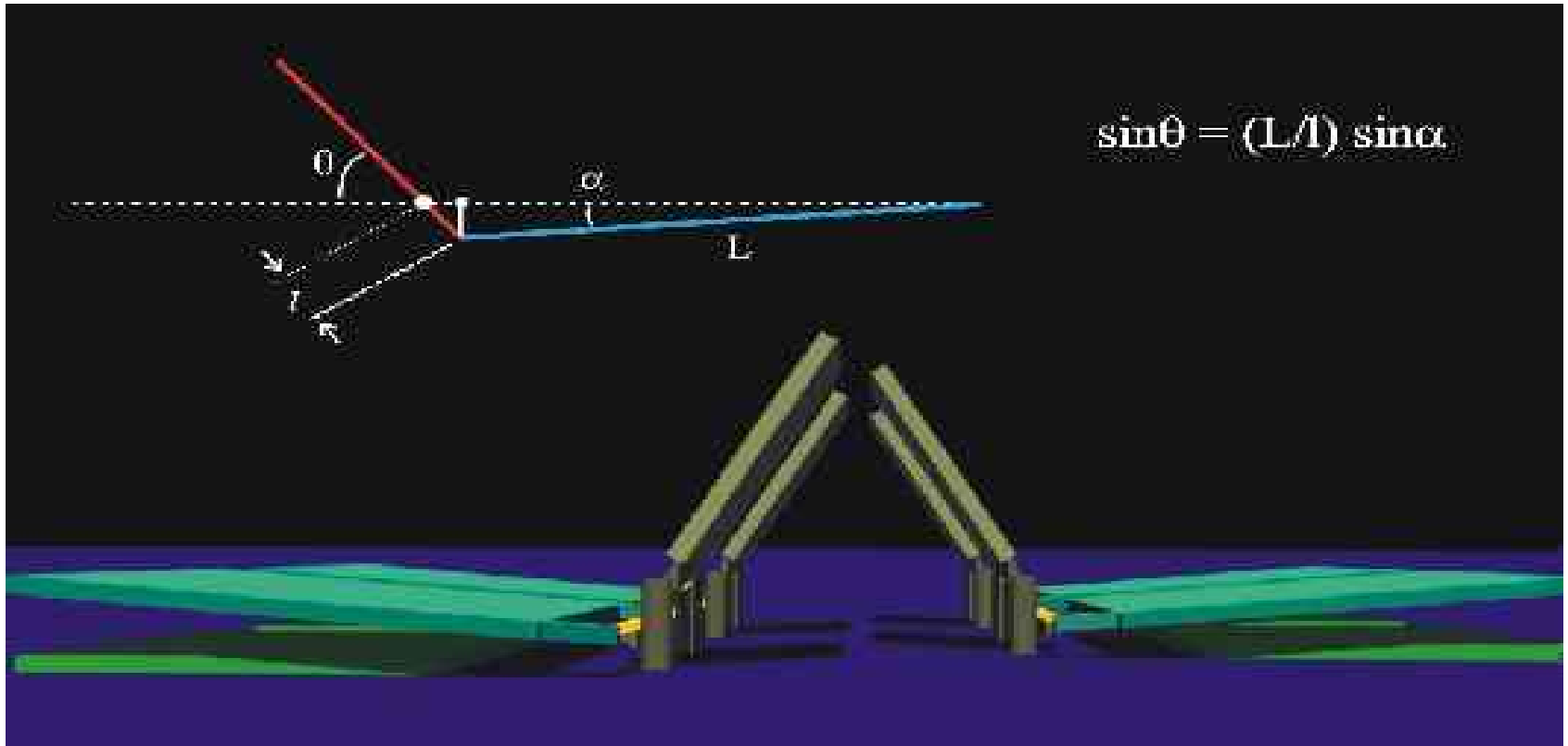


### Double Hinge Mechanism





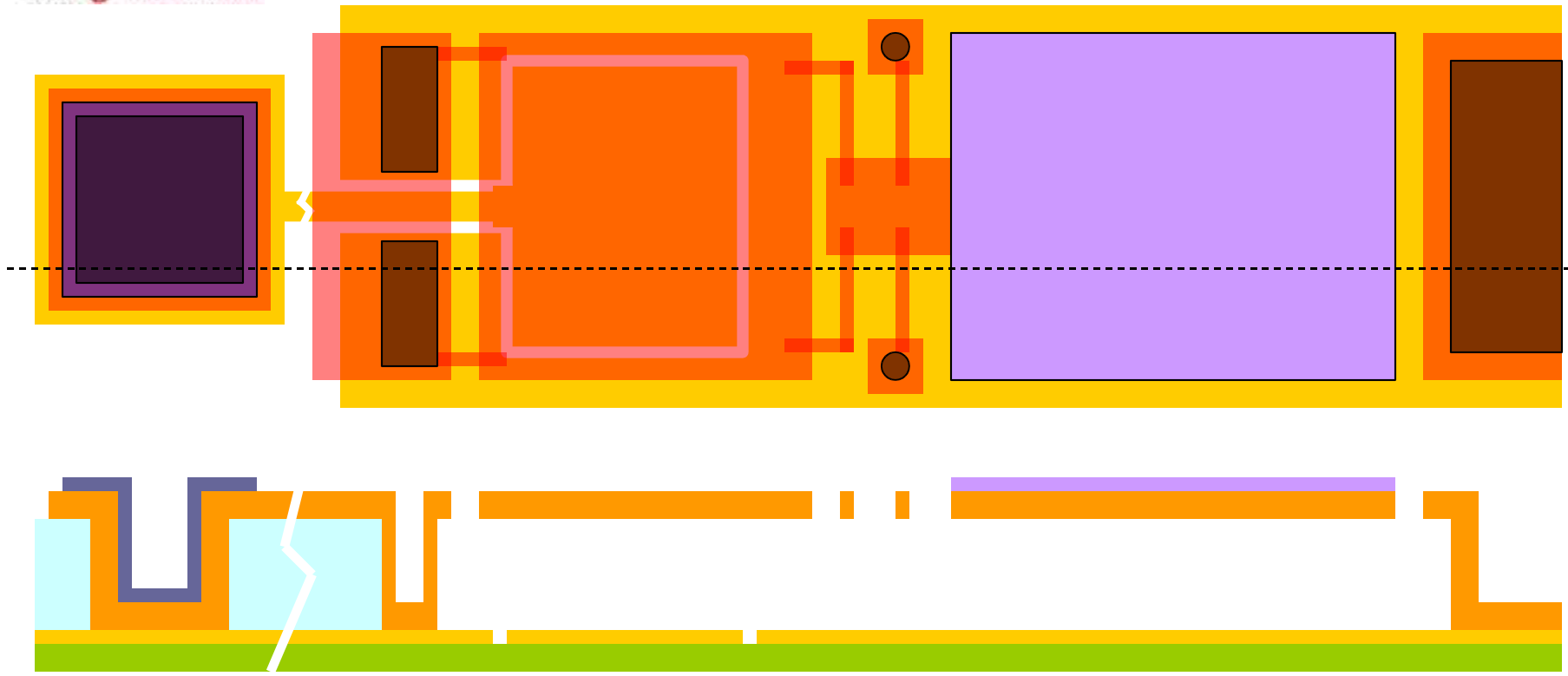
$$\sin\theta = (L/l) \sin\alpha$$



The *transmission mechanism* increases work produced by the actuator:

- larger area can be used
  - actuator gap can be decreased,
- while maintaining the required range of motion

# Process Flow



	<b>Si-Rich Nitride</b>	<b>0.5um</b>		<b>PolySilicon</b>	<b>1.0um</b>
	<b>PolySilicon</b>	<b>0.5um</b>		<b>Pad Metal</b>	<b>0.6um</b>
	<b>Sacrificial Si Oxide</b>	<b>4.0um</b>		<b>Mirror Metal</b>	<b>0.04um</b>

# BULK MICROMACHINING:

# Overview

**Superhydrophobic microfabricated surfaces**

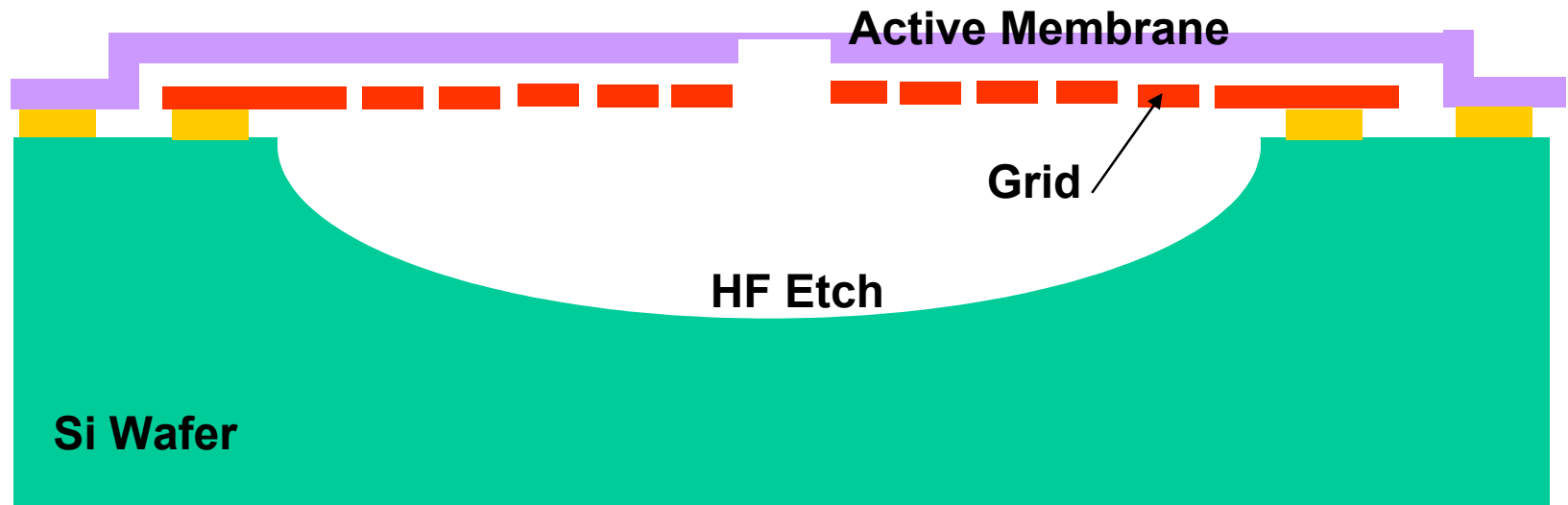
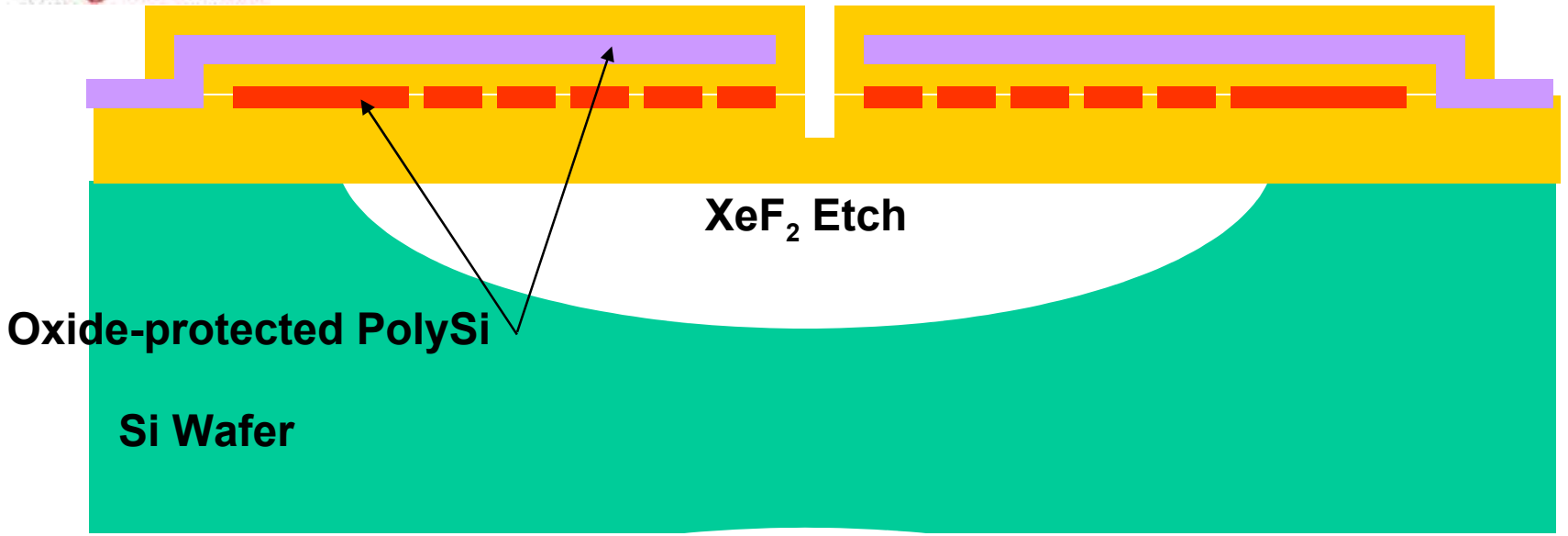
+

**Electrowetting**



**Control droplet movement and  
wetting**

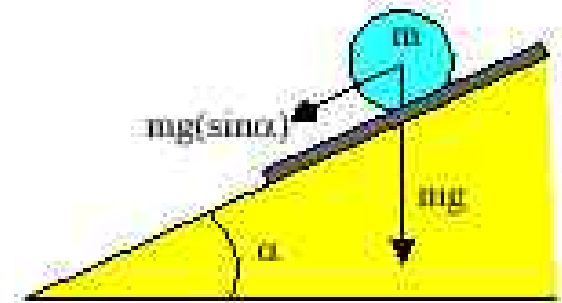
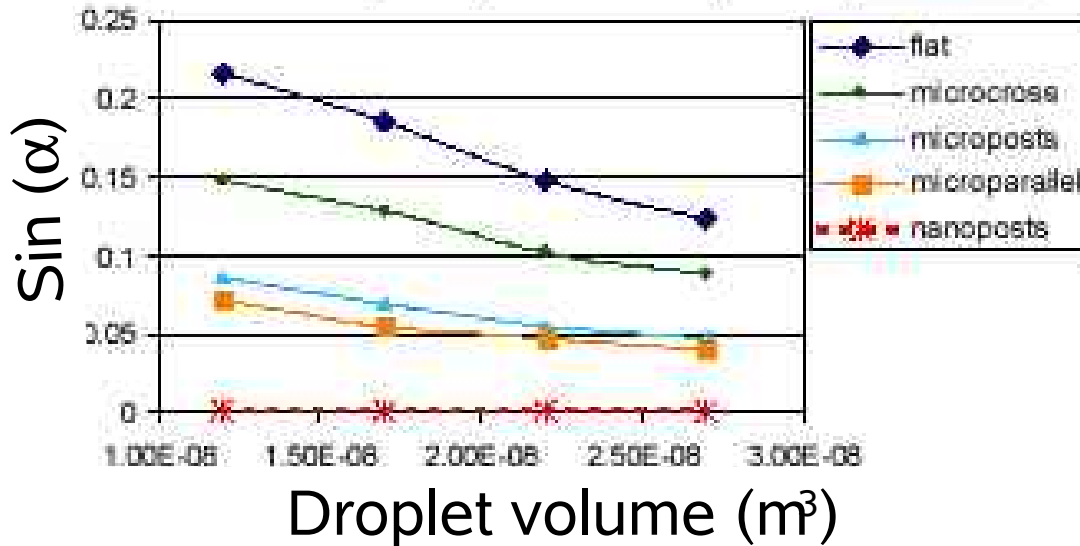
# Dry Release of Protected Silicon Structures



# Superhydrophobic Surfaces

## Mobility

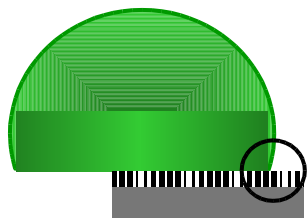
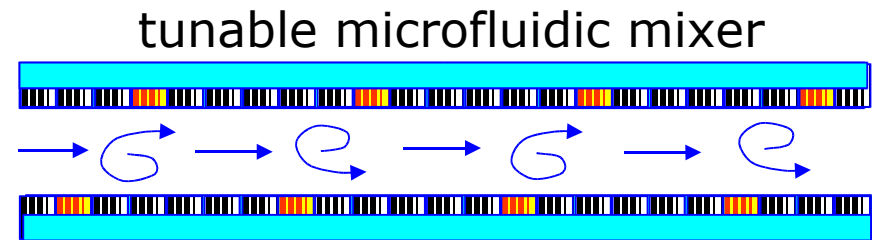
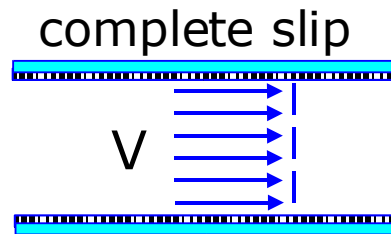
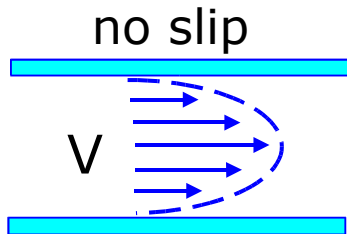
$\sin(\alpha)$  vs droplet volume  
(on open surface)



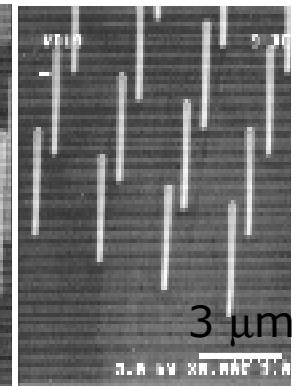
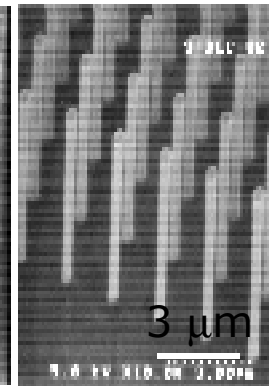
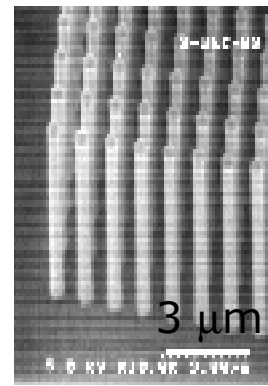
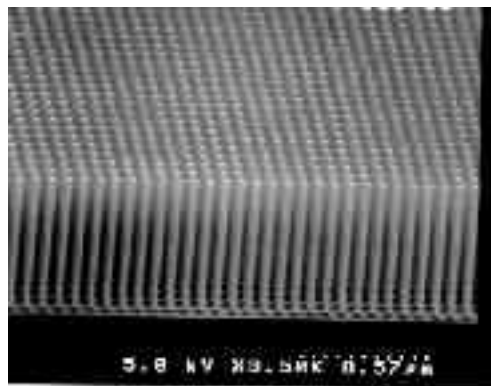
Joonwon Kim & "CJ" Kim (UCLA, 2002)

# Applications: Micro and Nano-fluidics

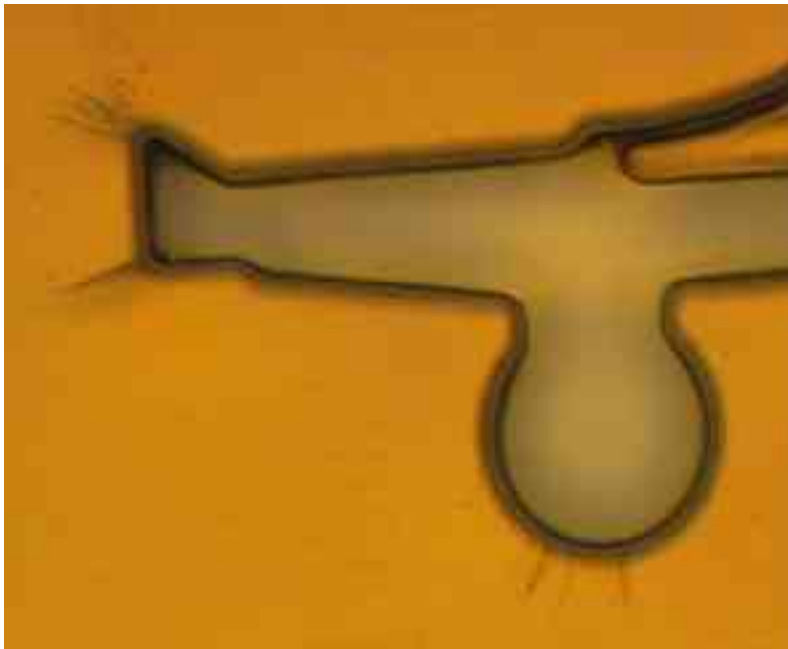
High mobility and super-repellency based



**Liquid- solid  
contact angle**



## STRESS RELIEF BORDER

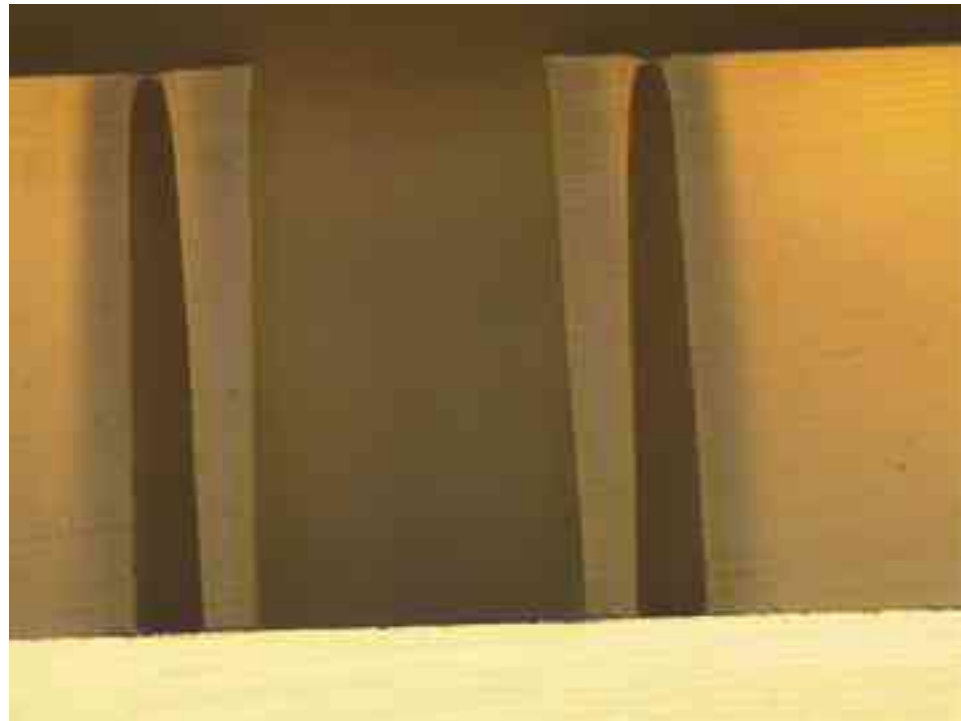


No Border



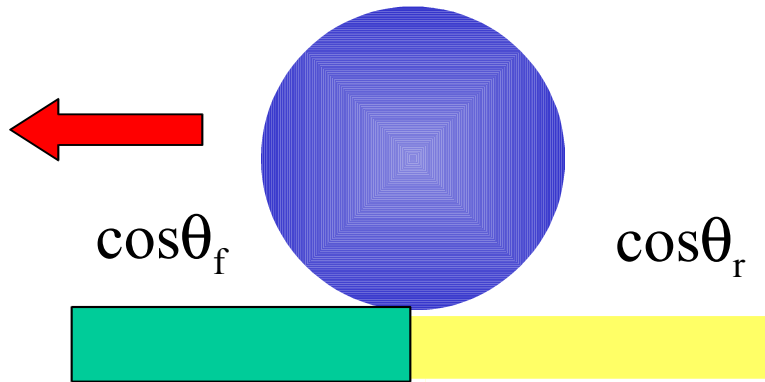
100um Border-100um space





SU-8 290um thick, coded 25um gap, 22um feature

# Droplet Movement



$$dF = \gamma_{LV} (\cos\theta_f - \cos\theta_r) dy$$

- **Electrostatic**

$$\cos\theta_f - \cos\theta_r = K(V_f^2 - V_r^2) \quad V_f^2 > V_r^2$$

- **Area density**

$$\cos\theta_f - \cos\theta_r = (f_f - f_r)(\cos\theta_0 + 1) \quad f_f > f_r$$

$$f = A_1 / A_2$$

# MEMS FABRICATION

Premise: as with semiconductor fabrication the need for increasing functionality of MEMS devices in time will lead to a need for more, smaller components on individual devices. This in turn will necessitate the need for advanced fabrication equipment and processes and integration of control electronics and MEMS on a single substrate.